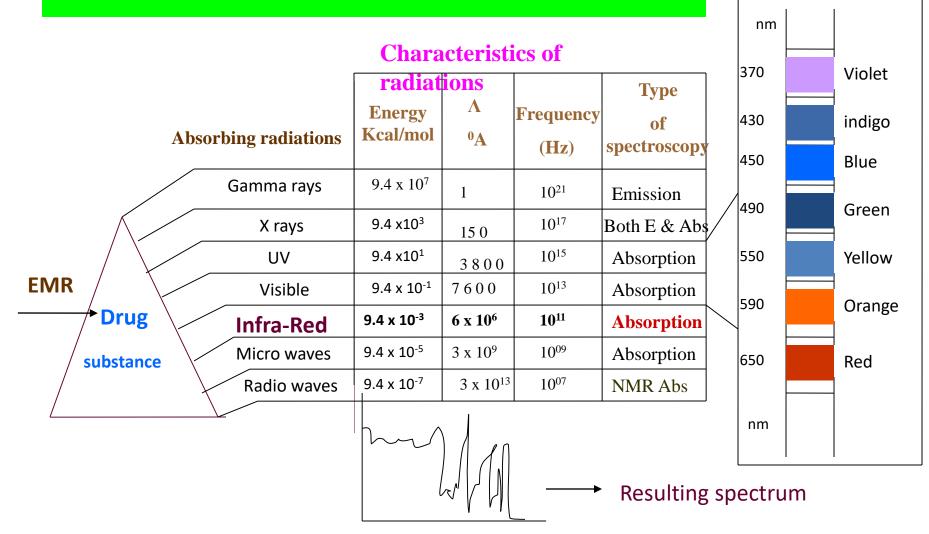


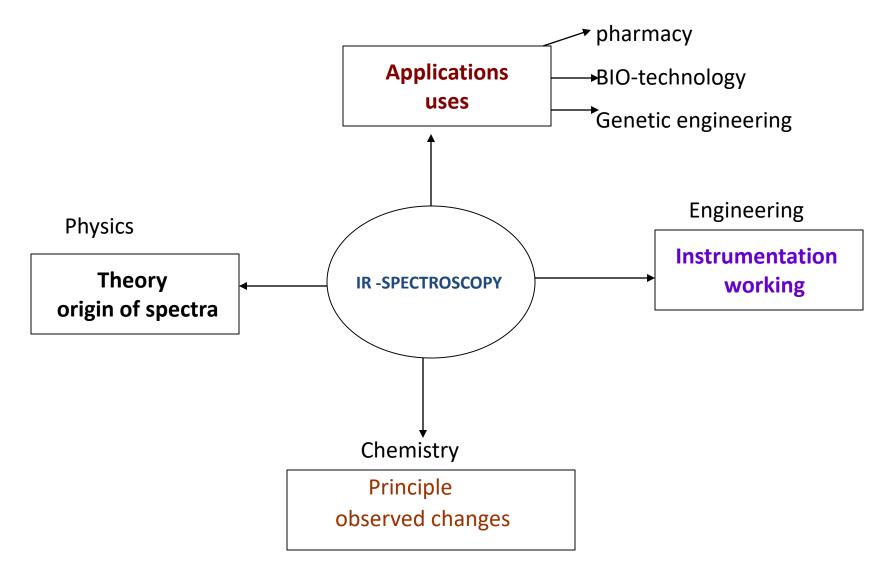
SPECTROSCOPI

B. PRAVEEN KUMAR M.Pharm., CHEBROLU HANUMAIAH INSTITUTE OF PHARMACEUTICAL SCIENCES

THE ELECTROMAGNETIC SPECTRUM



Multidisciplinary of IR spectroscopy



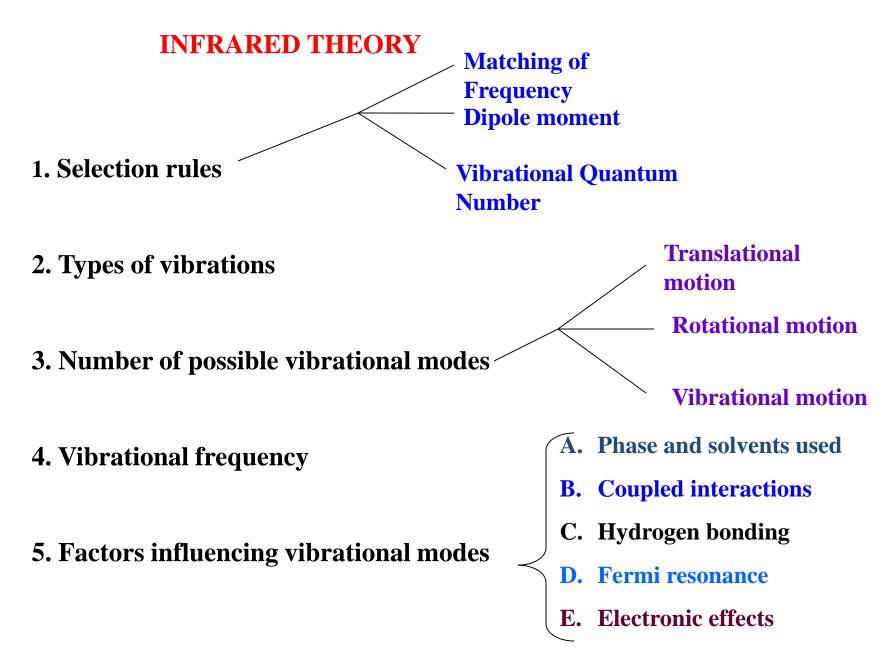
IR-REGION: 12,800 - 10 cm⁻¹

REGION	WAVE LENGTH λ (μm)	WAVE NUMBER v (cm ⁻¹)	FREQUENCY RANGE Hz
NEAR	0.78 - 2.5	12800 - 4000	3.8x10 ¹⁴ -1.2x10 ¹⁴
MIDDLE	2.5 - 50	4000 - 200	1.2x10 ¹⁴ - 6x1 ¹²
FAR	50 - 1000	200 -10	6x10 ¹² - 30x10 ¹¹
MOST USED	2.5 - 15	4000 - 670	$1.2 \times 10^{14} - 2 \times 10^{13}$

1.Near IR----carbohydrates and proteins
 2.Middle IR----organic molecules—functional groups
 3.Far IR—in-organic –co-ordination bonds& quaternary ammonium compounds

Differences between various types of IR spectra

Character	Electronic band spectra ^a	Vibration- rotational spectra ^b	Rotational spectra ^c
1. IR region	Near IR	Middle IR	Far IR
2.Energy required	Higher	less	very less
3.Dipole moment	less induced	Definite dipole	Intense dipole
4.Sample state	Solids	Liquids / gases	Only gases
5.Thoery supporting	Frank codon principle	Harmonic oscillator principle	Rigid rotor principle
6.Changes observed	Excitation, vibration	Vibration, rotation	Only rotation
7. Highly feasible for	single bonds	double bonds	Triple bonds



• For a molecule to absorb IR, the vibrations within a molecule must cause a net change in the dipole moment of the molecule. The alternating electrical field of the radiation (remember that electromagnetic radiation consists of an oscillating electrical field and an oscillating magnetic field, perpendicular to each other) interacts with fluctuations in

• If the frequency of the radiation matches the vibrational frequency of the molecule then radiation will be absorbed, causing a change in the amplitude of molecular vibration.

the dipole moment of the molecule.

Molecular vibration induced by IR adsorption

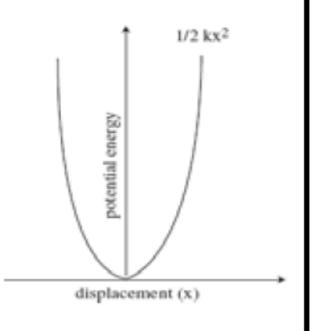
The stretching frequency of a bond can be approximated by Hooke's Law. Two atoms and the connecting bond are treated as a simple harmonic oscillator composed of 2 masses (atoms) joined by a spring:

According to Hooke's law, the vibration frequency of the spring is is expressed by: $v = \frac{1}{2\pi} \sqrt{\frac{k}{m}}$

where **k** is the force constant, **m** is the mass, v is the vibration frequency

In the classical harmonic oscillator, $E = 1/2kx^2 = hv$, where x is the displacement of the spring. Thus, the energy or frequency is dependent on how far one stretches or compresses the spring, which can be any value. If this simple model were true, a molecule could absorb energy of any wavelength.





Molecular vibration induced by IR adsorption

The following formula has been derived from Hooke's law. For the case of a diatomic molecule,

$$\bar{\mathbf{v}} = \frac{1}{2\pi c} \sqrt{\frac{f(m_1 + m_2)}{m_1 m_2}} \qquad \qquad \mathbf{m_1} \sqrt{\frac{f}{\mathbf{v}}} \mathbf{m_2} \qquad \qquad \mathbf{\bar{\lambda}} \qquad \mathbf{\bar{\lambda}} \qquad \mathbf{\bar{\lambda}} \qquad \mathbf{\bar{\lambda}} \qquad \mathbf{\bar{\nu}} = \frac{1}{\lambda} \qquad \mathbf{v} = \mathbf{c} \overline{\mathbf{v}}$$

where $\overline{\mathbf{v}}$ is the wavenumber (cm⁻¹), m_1 and m_2 are the mass of atoms 1 and 2, respectively, *c* is the velocity of light (cm/s), *f* is the force constant of the bond (dyne/cm)

Equation shows the relationship of bond strength and atomic mass to the wavenumber (vibration frequency) at which a molecule will absorb IR radiation. As the force constant increases, the wavenumber increases.

$$V(r) = \frac{1}{2} k \cdot \Delta r^2 = 2\pi^2 \cdot \mu \mathbf{v}_{osc} \Delta r^2 \qquad \qquad \mu = \frac{m_1 \cdot m_2}{m_1 + m_2} \qquad \text{(reduced mass)}$$

$$\mathbf{v}_{osc} = \frac{1}{2\pi} \cdot \sqrt{\frac{f}{\mu}}$$

Vibrations – relative positions of the atoms change while the average position and orientation of the molecule remain fixed.

Fundamental Vibrations

Degrees of freedom	linear	non-linear
Translational Rotational Vibrational	3 2 3 <i>N</i> –5	3 3 3 <i>N</i> –6
Total	3 <i>N</i>	3 <i>N</i>

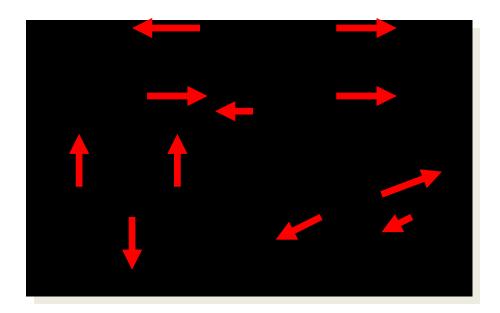
N = number of atoms in molecule

prerequisites for Infrared active

- 1) The frequency of the infrared light must be identical to the frequency of the vibration (resonance).
- 2) The dipole of the molecule must change during vibration.
- 3) The direction of the dipole change must be the same as the direction of the electric filed vector
- That is, the molecules can interact with light and lead to infrared absorption only when all the following requirements are met:

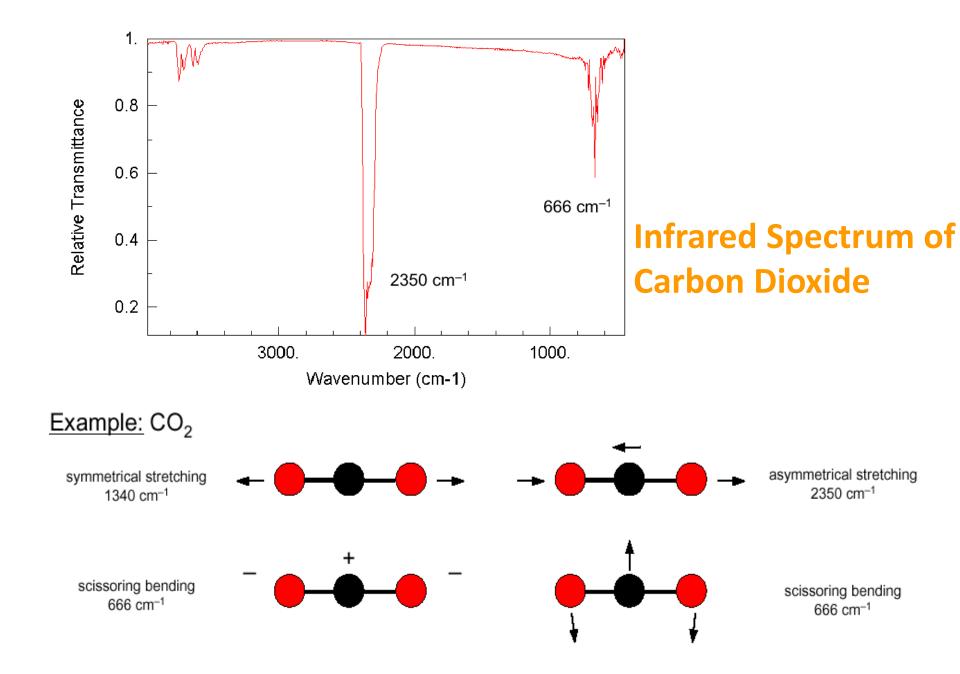
INFRARED SPECTROSCOPY

 Only vibrations that cause a change in 'polarity' give rise to bands in IR spectra – which of the vibrations for CO₂ are infrared active?



Symmetric stretch Asymmetric stretch

Bending (doubly degenerate)



Selection Rules

The energy associated with a quantum of light may be transferred to the molecule if work can be performed on the molecule in the form of displacement of charge.

Selection rule:

<u>A molecule will absorb infrared radiation if the change in vibrational states is</u> <u>associated with a change in the dipole moment (μ) of the molecule.</u>

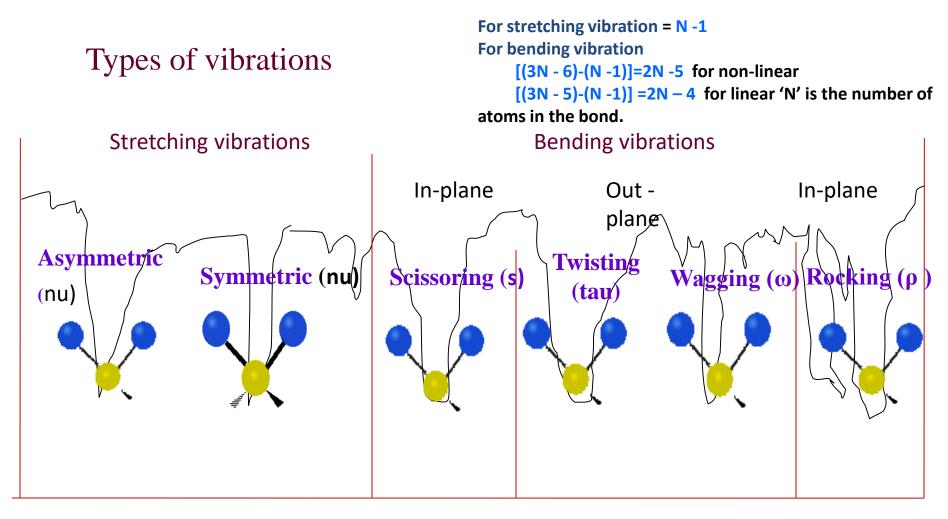
 $\mu = qr$

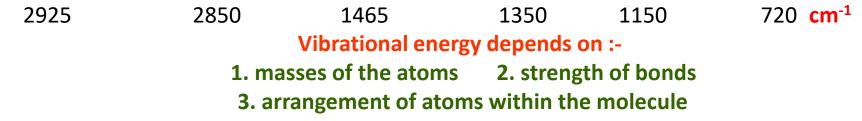
q: electrical charge, **r**: directed distance of that charge from some defined origin of coordinates from the molecule.

Dipole moment is greater when electronegativity difference between the atoms in a bond is greater. Some electronegativity values are:

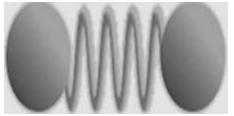
H 2.2; C 2.55; N 3.04; O 3.44; F 3.98; P 2.19; S 2.58; Cl 3.16

• Vibrations which do not change the dipole moment are *Infrared Inactive* (homonuclear diatomics).





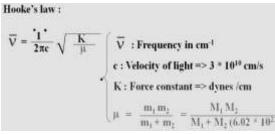
Vibrational frequency



Factors influencing absorption frequency

M1 Force constant, k M2

Ball and spring representation of 2 atom of molecule vibrating in the direction of bond



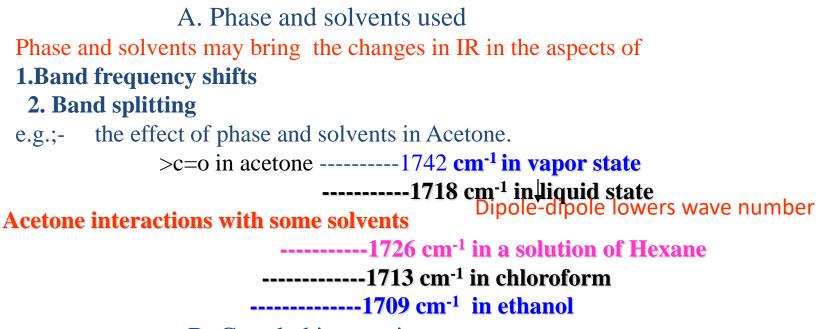
•Masses of attached atoms. As masses increase, wave number decreases.

C-H	C-C	C-O	C-CI	C-Br	C-I
3000 cm ⁻¹	1200 cm ⁻¹	1100 cm ⁻¹	750 cm ⁻¹	600 cm ⁻¹	500 cm ⁻¹

•Strength of chemical bond. As bond	C≡C	C=C	C-C
strength increases, wave number increases.	2150 cm ⁻¹	1650 cm ⁻¹	1200 cm ⁻¹

•Hybridization. Bonds are stronger in the order $sp > sp^2 > sp^3$.	C-H (sp)	C-H (sp²)	C-H (sp³)
	3300 cm ⁻¹	3100 cm ⁻¹	2900 cm ⁻¹
• Resonance. Conjugation lowers the energy to vibrate bond.	isolated	α,β-unsaturated	α,β,γ,δ-unsaturated
	ketones	ketone	ketone
	1715 cm ⁻¹	1690 cm ⁻¹	1675 cm ⁻¹

Factors influencing vibrational modes



B. Coupled interactions

Extent of coupling influenced by

1.stretching vibrations with two vibrations have common atom

- 2. bending vibrations with a common bond b/t vibrating groups.
- 3. coupled groups of identical energies.

4. groups separated by two/more bonds, little or no interaction occur.

6. vibrations of symmetrical species.

Factors influencing vibrational modes

C.. Hydrogen bonding

extent of bonding

Strength of H-bond effected by

- 1. ring strain
- 2. molecular geometry
- 3. relative acidity and basicity of proton donor and acceptor

Types of hydrogen bonding :-

1. intermolecular hydrogen bonding

2. intramolecular hydrogen bonding D. Fermi resonance

Factors leads to Fermi resonance

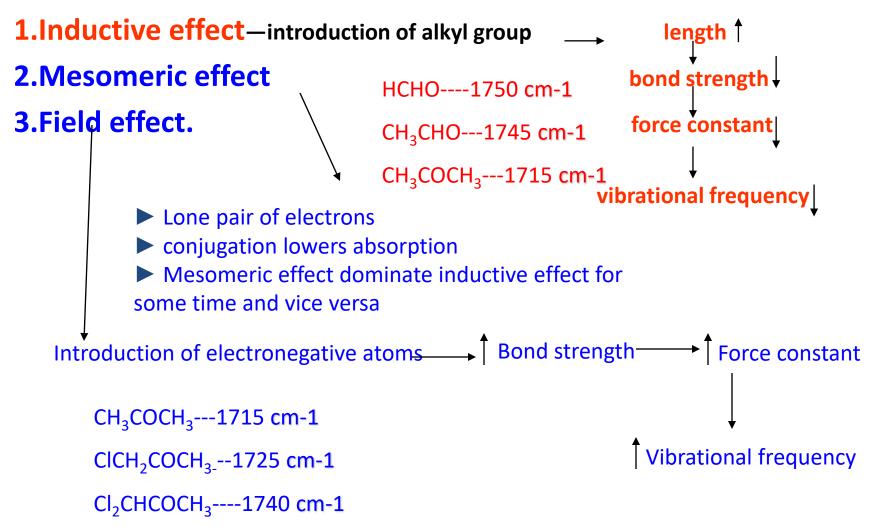
- a) vibrational levels are same for symmetrical compounds.
- b) interacting groups located in the molecule for an appreciable mechanical coupling to occur.

e.g.:-

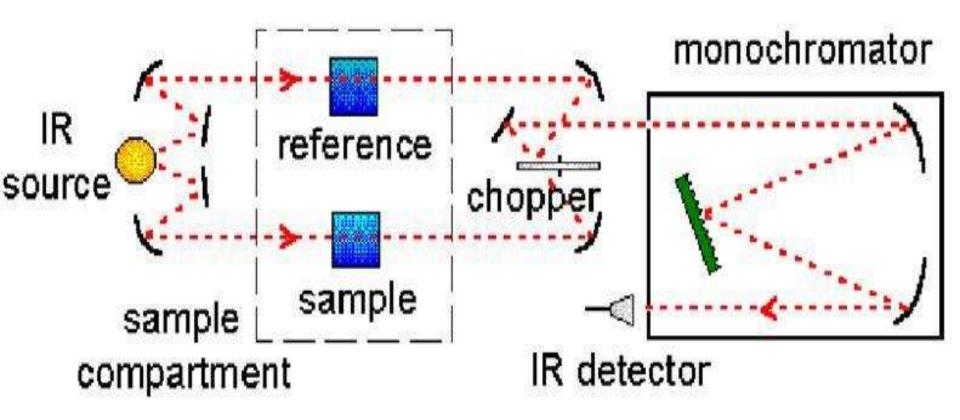
- 1. co₂ actual absorption frequencies at 1286,1388 cm-1 the splitting caused by coupling b/t fundamental c=o stre. near 1340 cm⁻¹ and 667 cm⁻¹ -----1344 cm⁻¹ 1st overtone
- 2. lactones, lactims, lactums, aldehydes.

Factors influencing vibrational modes

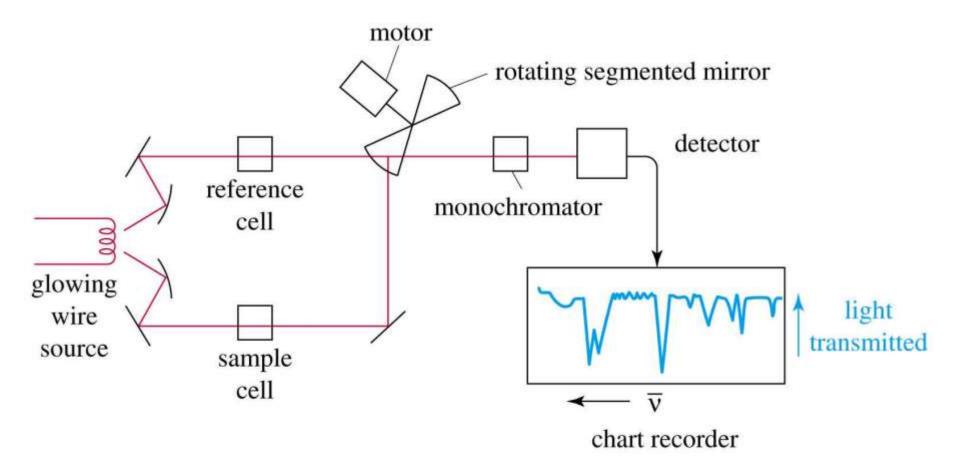
E. Electronic effects



INSTRUMENTATION

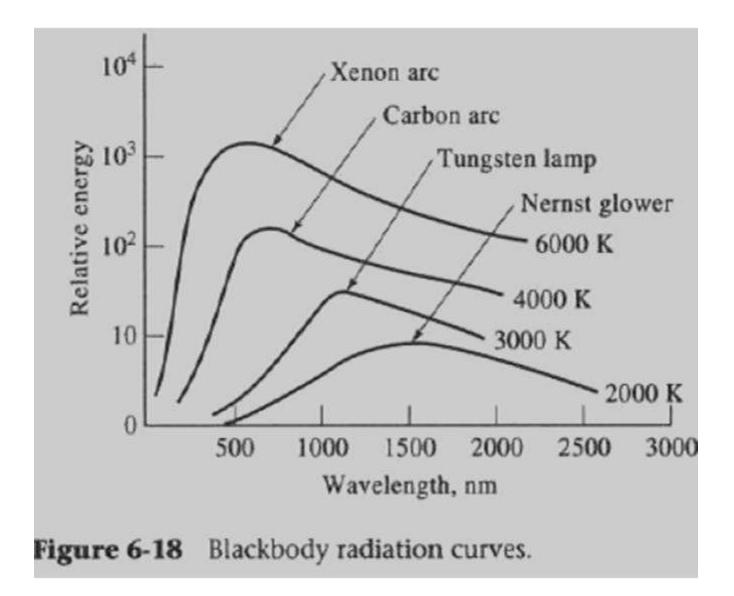


An Infrared Spectrometer



INFRARED SOURCES

S.NO	Character	Nernst glower	Globar	Incandescent	Mercury arc	Tungsten Iamp	Co ₂ laser
1.	Composition	Rare earth oxides	Silicone carbide	Nichrome wire	High (Hg) pressure	Tungsten – Halogen	Tunable Co ₂ laser.
2.	Operating temp.	1200 — 2200K	1300 1500 K	1100K	1000K	3500K	
3.	Radiations produced O.P	12,800- 4000 cm-1	5200 cm-1	10,800 8000 cm-1	< 665 cm-1	10,100—4000 cm-1	1100- 900 cm-1
4.	IR region used	Near / visible	Middle	Near	Far	Middle	Middle /near
5.	Intensity of radiation	More intense	As equal to Nernst	Less but longer life.	Greater	Mild	More effective
6.	Out put significant (λ)	>2µm	>5µm	2-4µm	10µm	2-4µm	5 µm
7.	Used for	Carbohydrate , protein	Simple Functional groups	complex organic molecules.	In- organic complexes.	Most organic functional groups	$\begin{array}{l} NH_3C_6H_6,\\ C_2H_5OH \end{array}$



DETECTORS or TRANSDUCERS

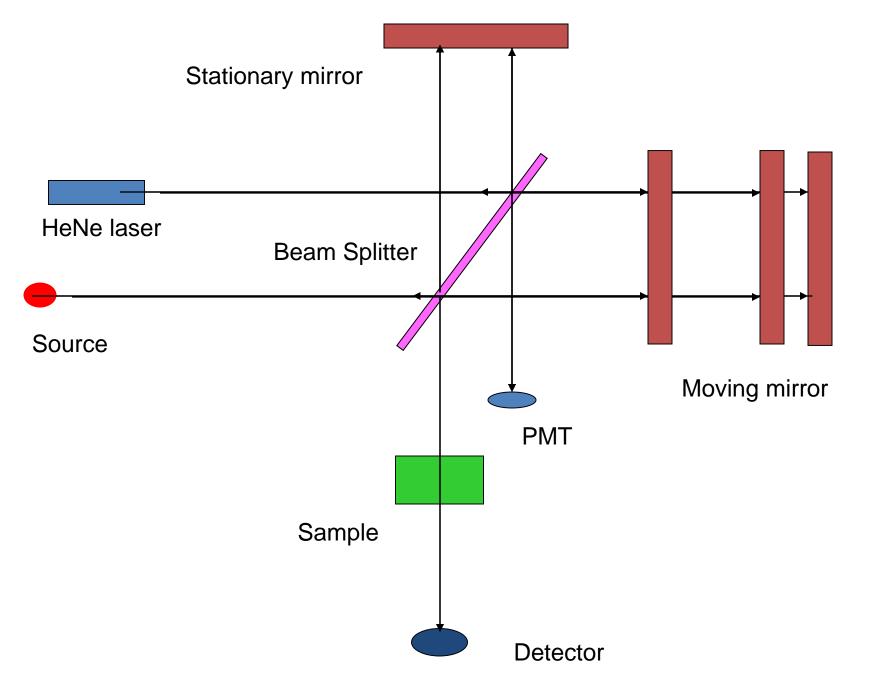
S.No	Character	Thermocouple	Thermister	Pyroelectric	Golay
1.	Principle	Pelletier effect	Whetstone bridge	Electric polarization	Expanction of gases
2.	Materials used	Bismuth & Antimony, coated by metal oxides	Sintered oxides of Mn, co, Ni	TGS, DTGS, LiTGO $_3$, LiTubO $_3$	generally CO ₂
3.	Material should be	Thermally active	Thermally sensitive resistors	Non-center symmetric crystal	Inert nature
4.	Description	Half -junction- hot Alternate -junction -cold			Metal cylinder closed in b/t metal plate & Ag
5.	Conversion unit	Radiant to Electric signalmeasured	Change in resistance - Q	Thermal alteration to E.polarization	Expanction of gas to pressure to e.signal
6.	Used	Photocuastic spectroscopy	Diffusive reflectance	FTIR	Non –dispersive IR
7.	Response time	30 sec	4 sec	multiple scanning	0.01sec

FT – IR spectrophotometer

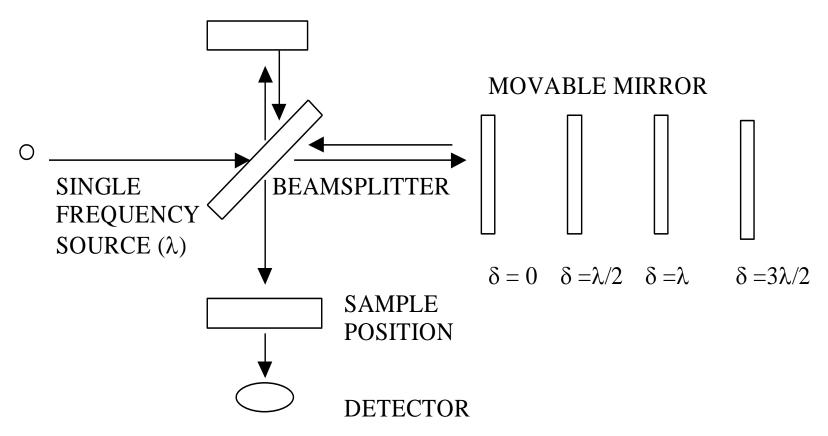
- Fourier transform infrared spectroscopy is preferred over dispersive or filter methods of infrared spectral analysis for several reasons:
- It is a non-destructive technique
- It provides a precise measurement method which requires no external calibration
- It can increase speed, collecting a scan every second
- It can increase sensitivity one second scans can be co-added together to ratio out random noise
- It has greater optical throughput
- It is mechanically simple with only one moving part

Why FT-IR?

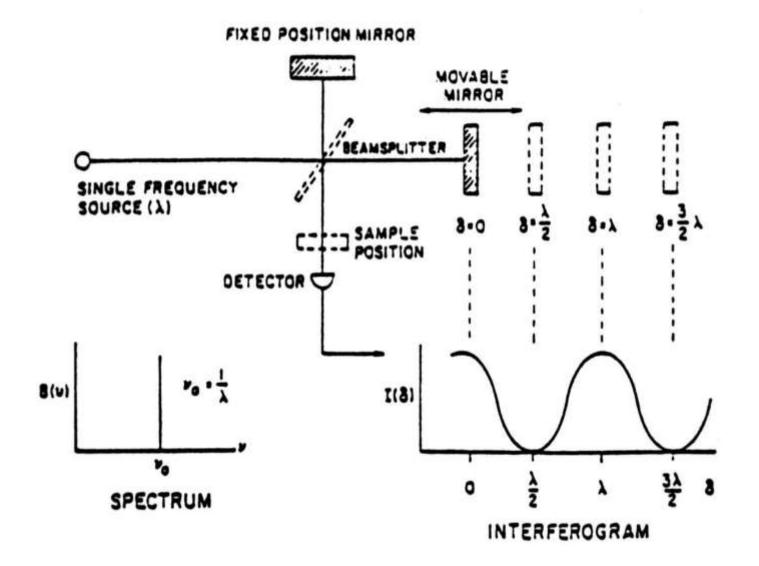
- Fourier Transform Infrared (FT-IR) spectrometry was developed in order to overcome the limitations encountered with dispersive instruments. The main difficulty was the slow scanning process. A method for measuring all of the infrared frequencies **simultaneously**, rather than individually, was needed.
- A solution was developed which employed a very simple optical device called an **interferometer**.
- The interferometer produces a unique type of signal which has all of the infrared frequencies "encoded" into it.
- The signal can be measured very quickly, usually on the order of **one second** or so.
- Thus, the time element per sample is reduced to a matter of a few seconds rather than several minutes.



FIXED POSITION MIRROR



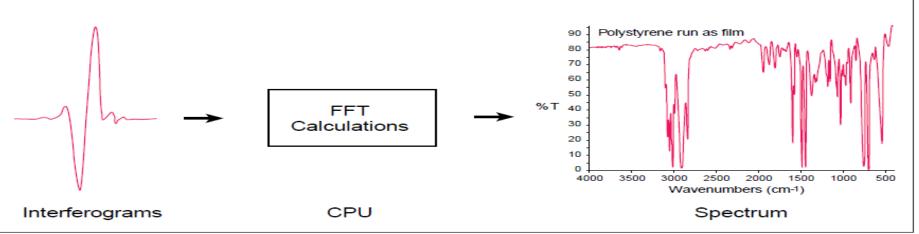
THE MICHELSON INTERFEROMETER



Schematic of a Michelson Interferometer.

- Most interferometers employ a **beamsplitter** which takes the incoming infrared beam and divides it into two optical beams. One beam reflects off of a flat mirror which is fixed in place.
- The other beam reflects off of a flat mirror which is on a mechanism which allows this mirror to move a very short distance (typically a few millimeters) away from the beamsplitter.
- The two beams reflect off of their respective mirrors and are recombined when they meet back at the beamsplitter. Because the path that one beam travels is a fixed length and the other is constantly changing as its mirror moves, the signal which exits the interferometer is the result of these two beams "interfering" with each other. The resulting signal is called an **interferogram** which has the unique property that every data point (a function of the moving mirror position) which makes up the signal has information about every infrared frequency which comes from the source.
- This means that as the interferogram is measured, all frequencies are being measured **simultaneously**. Thus, the use of the interferometer results in extremely fast measurements.

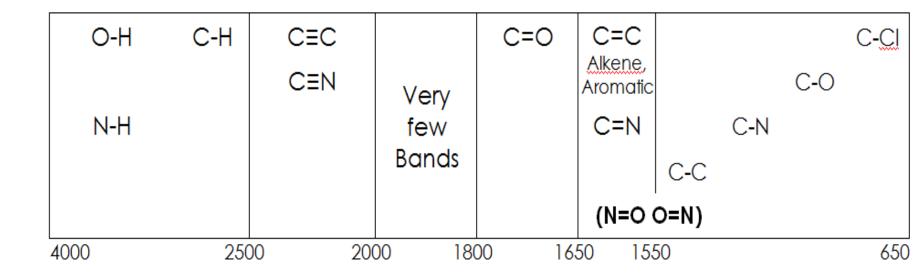
- Because the analyst requires a frequency spectrum (a plot of the intensity at each individual frequency) in order to make an identification, the measured interferogram signal can not be interpreted directly.
- A means of "decoding" the individual frequencies is required. This can be accomplished via a well-known mathematical technique called the Fourier transformation.
- This transformation isperformed by the computer which then presents the user with the desired



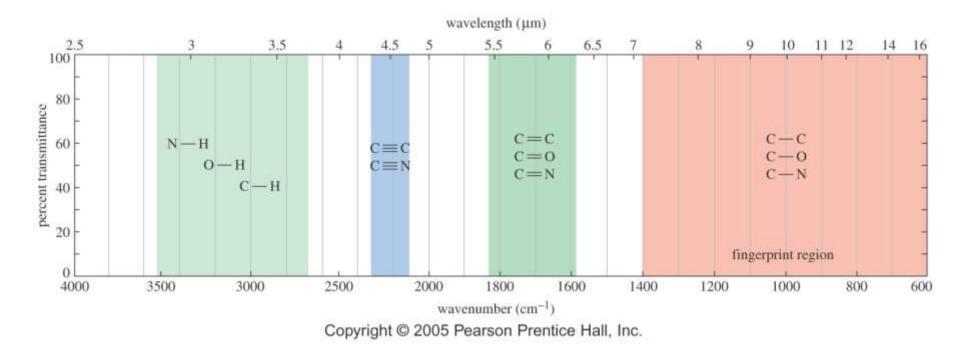
Advantages of FT-IR

- Some of the major advantages of FT-IR over the dispersive technique include:
- **Speed:** Because all of the frequencies are measured simultaneously, most measurements by FT-IRare made in a matter of seconds rather than several minutes. This is sometimes referred to as the **Felgett Advantage**.
- Sensitivity: Sensitivity is dramatically improved with FT-IR for many reasons. The detectors employed are much more sensitive, the optical throughput is much higher (referred to as the Jacquinot Advantage) which results in much lower noise levels, and the fast scans enable thecoaddition of several scans in order to reduce the random measurement noise to any desired level (referred to as signal averaging).
- **Mechanical Simplicity:** The moving mirror in the interferometer is the only continuously moving part in the instrument. Thus, there is very little possibility of mechanical breakdown.
- Internally Calibrated: These instruments employ a HeNe laser as an internal wavelength calibration standard (referred to as the Connes Advantage). These instruments are self-calibrating and never need to be calibrated by the user.

Ranges of IR



Summary of IR Absorptions



Bond	Type of Compound	Frequency Range, cm ⁻¹	Intensity
С—Н	Alkanes	2850-2970	Strong
		1340-1470	Strong
С—Н	Alkenes $\left(\geq C = C < H \right)$	3010-3095	Medium
	(\times)	675-995	Strong
С—Н	Alkynes ($-C \equiv C - H$)	3300	Strong
С—Н	Aromatic rings	3010-3100	Medium
		690-900	Strong
О-Н	Monomeric alcohols, phenols	3590-3650	Variable
	Hydrogen-bonded alcohols, phenols	3200-3600	Variable, sometimes broad
	Monomeric carboxylic acids	3500-3650	Medium
	Hydrogen-bonded carboxylic acids	2500-2700	Broad
N-H	Amines, amides	3300-3500	Medium
C = C	Alkenes	1610-1680	Variable
C=C	Aromatic rings	1500-1600	Variable
C≡C	Alkynes	2100-2260	Variable
C-N	Amines, amides	1180-1360	Strong
$C \equiv N$	Nitriles	2210-2280	Strong
С—О	Alcohols, ethers, carboxylic acids, esters	1050-1300	Strong
C=0	Aldehydes, ketones, carboxylic acids, esters	1690-1760	Strong
NO ₂	Nitro compounds	1500-1570	Strong
22 CO 22 FAD		1300-1370	Strong

TABLE 17-3 Abbreviated Table of Group Frequencies for Organic Functional Groups

Carbon-Carbon Bond Stretching

- Stronger bonds absorb at higher frequencies:
 - C-C 1200 cm⁻¹
 - C=C 1660 cm⁻¹
 - C=C 2200 cm⁻¹ (weak or absent if internal)
- Conjugation lowers the frequency:
 - isolated C=C 1640-1680 cm⁻¹
 - conjugated C=C 1620-1640 cm⁻¹
 - aromatic C=C approx. 1600 cm⁻¹

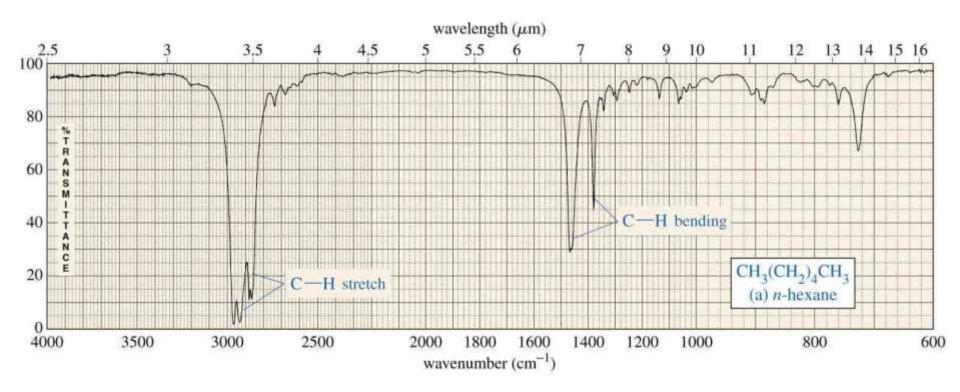
=>

Carbon-Hydrogen Stretching

- Bonds with more *s* character absorb at a higher frequency.
 - *sp*³ C-H, just below 3000 cm⁻¹ (to the right)
 - $-sp^2$ C-H, just above 3000 cm⁻¹ (to the left)
 - *sp* C-H, at 3300 cm⁻¹

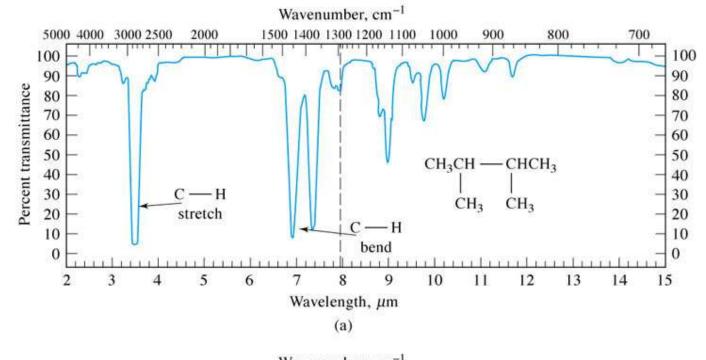
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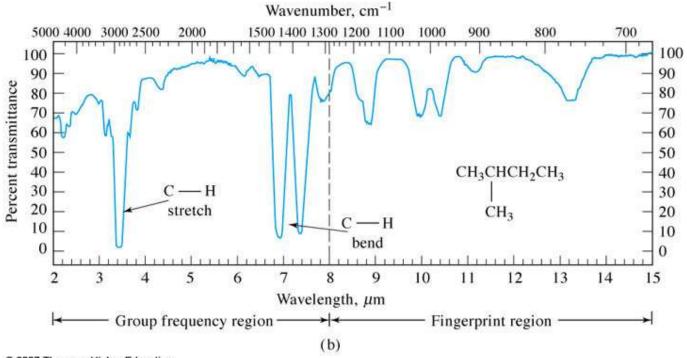
An Alkane IR Spectrum



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N-Alkanes

- interpreted in terms of 4 vibrations:
 - stretching and bending of C–H and C–C bonds
 - C–C bends: ca. 500 cm⁻¹ (out of spectral window)
 - C–C stretches: 1200–800 cm⁻¹, weak bands

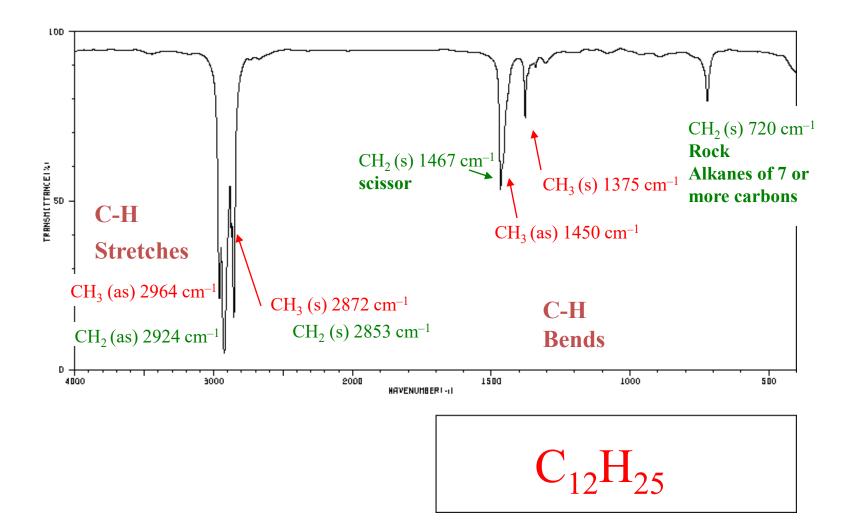
not of value for interpretation (fingerprint)

more characteristic

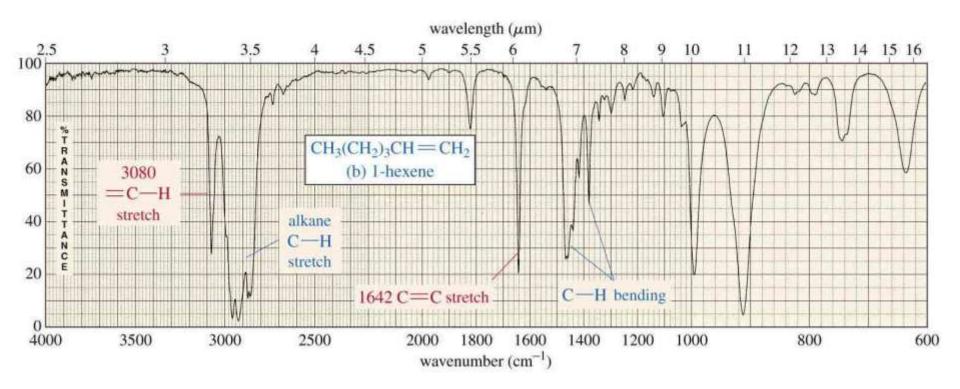
- C–H stretches: occurs from 3000 2840 cm⁻¹ CH₃: 2962 cm⁻¹, asymmetrical stretch 2872 cm⁻¹, symmetrical stretch
 - CH₂: 2926 cm⁻¹, asymmetrical stretch 2853 cm⁻¹, symmetrical stretch

Note precision!

n-alkanes



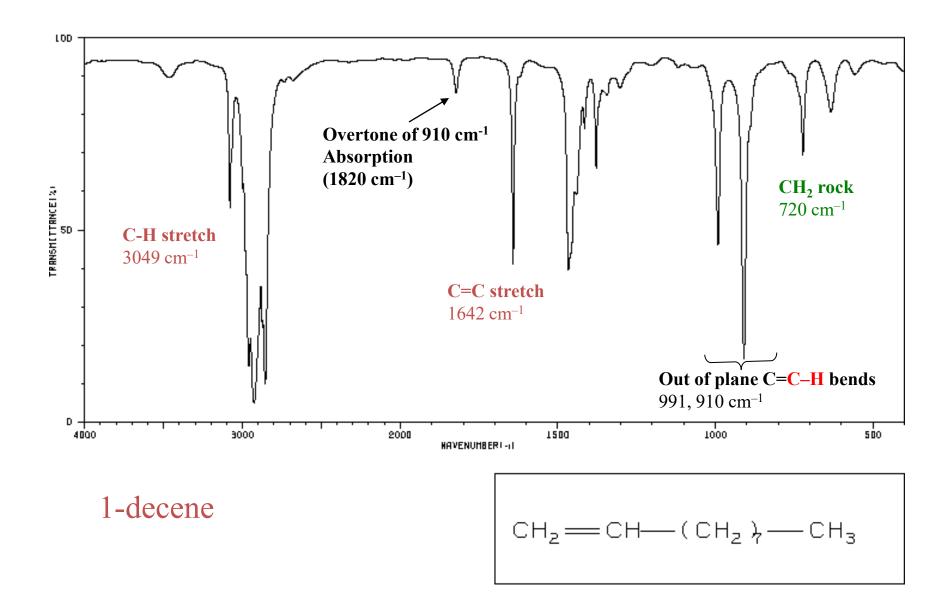
An Alkene IR Spectrum

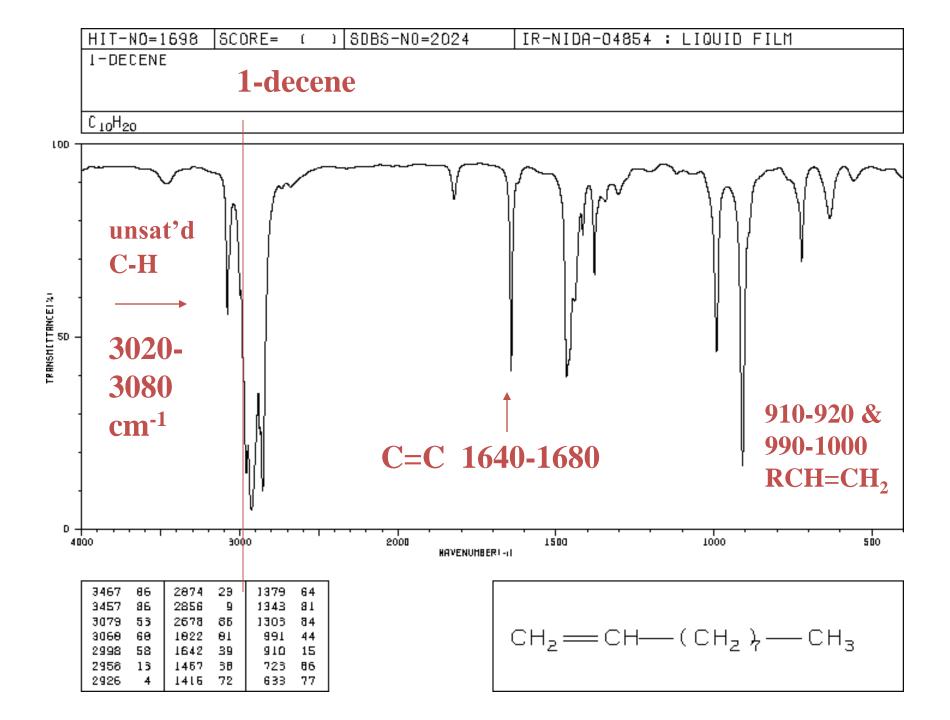


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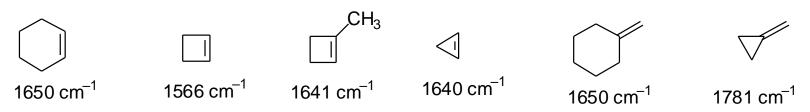
=)

Unconjugated Alkenes





- cyclic alkenes:
 - C=C stretch: sensitive to ring strain



- cumulated alkenes:
 - C=C=C stretch (asymmetric): 2000–1900 cm⁻¹
- conjugated alkenes:

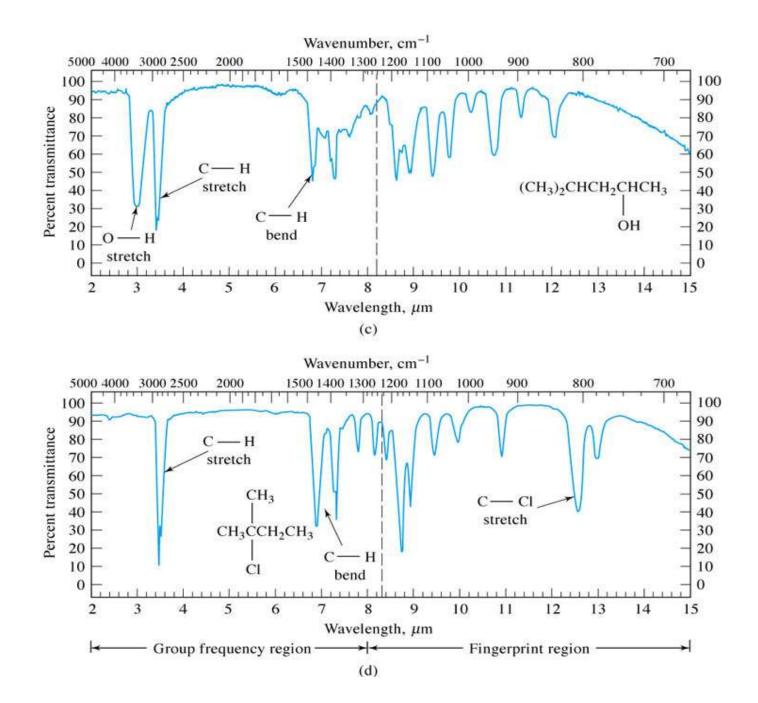
-the alkene bond stretching vibrations in alkenes w/o a center of symmetry give rise to two C=C stretches

-for symmetrical molecules, e.g. butadiene, only the asymmetric stretch is observed

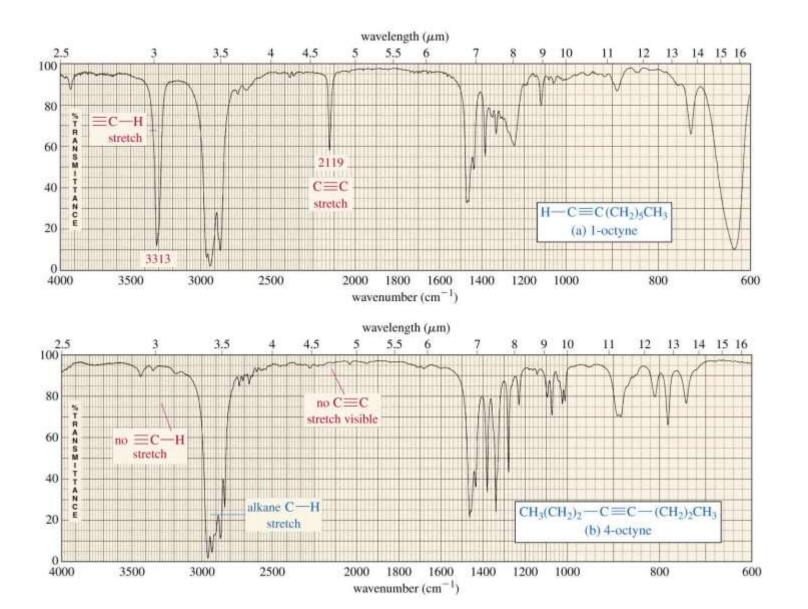
Me

1650 cm⁻¹ (as) 1600 cm⁻¹ (s)

1600 cm⁻¹ (as)



An Alkyne IR Spectrum

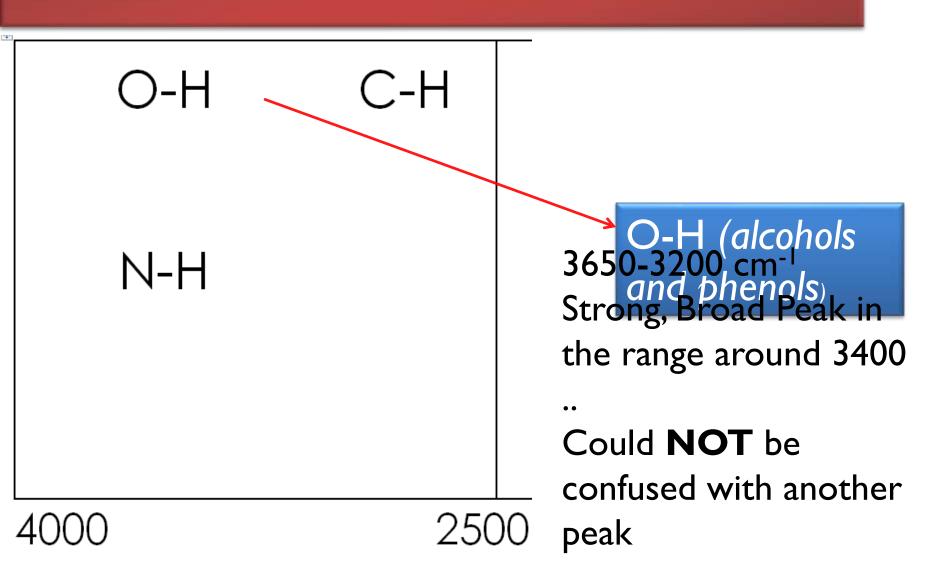


47

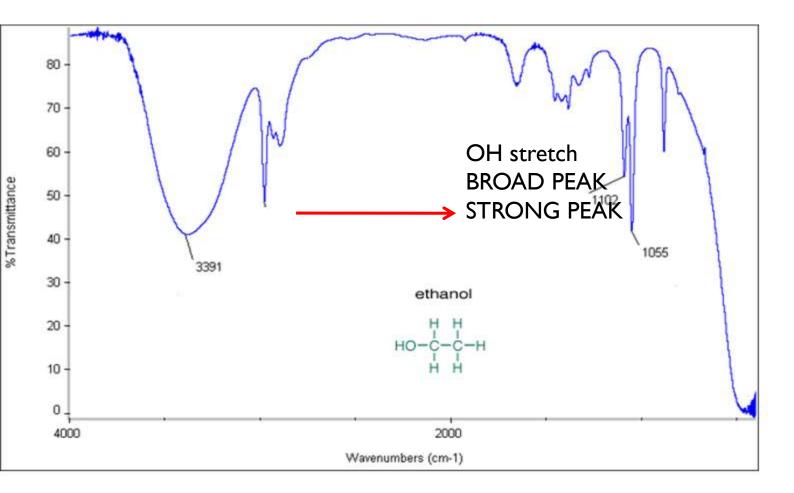
O-H and N-H Stretching

- Both of these occur around 3300 cm⁻¹, but they look different.
 - Alcohol O-H, broad with rounded tip.
 - Secondary amine (R₂NH), broad with one sharp spike.
 - Primary amine (RNH₂), broad with two sharp spikes.
 - No signal for a tertiary amine $(R_3N) = >$

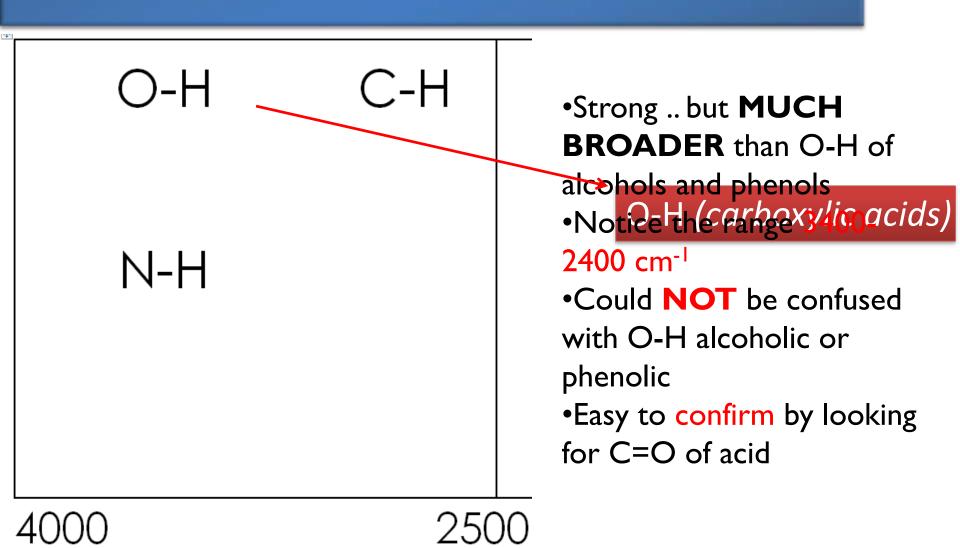
Region 4000-2500 cm-1



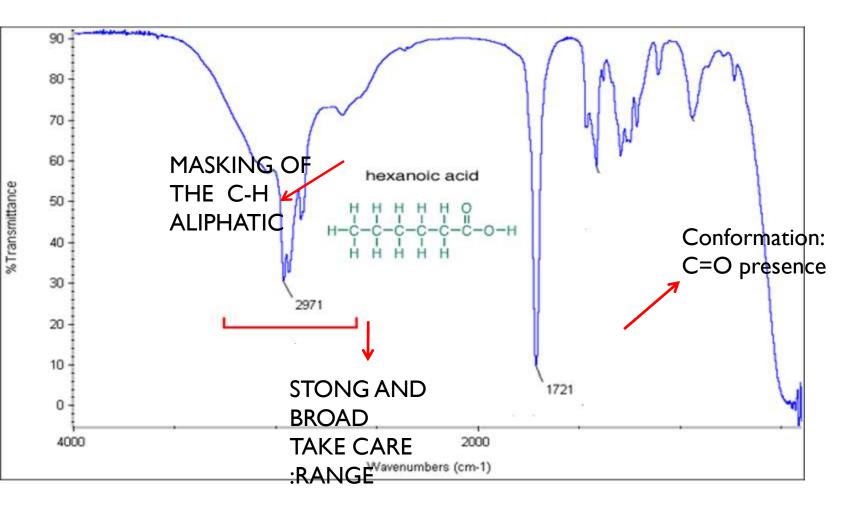
Alcoholic and phenolic OH



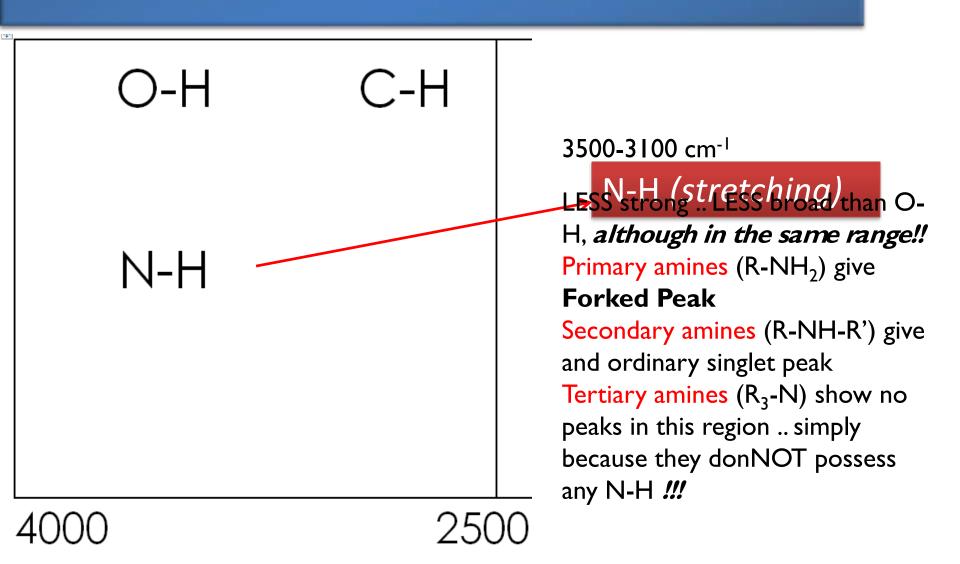
Region 4000-2500 cm-1



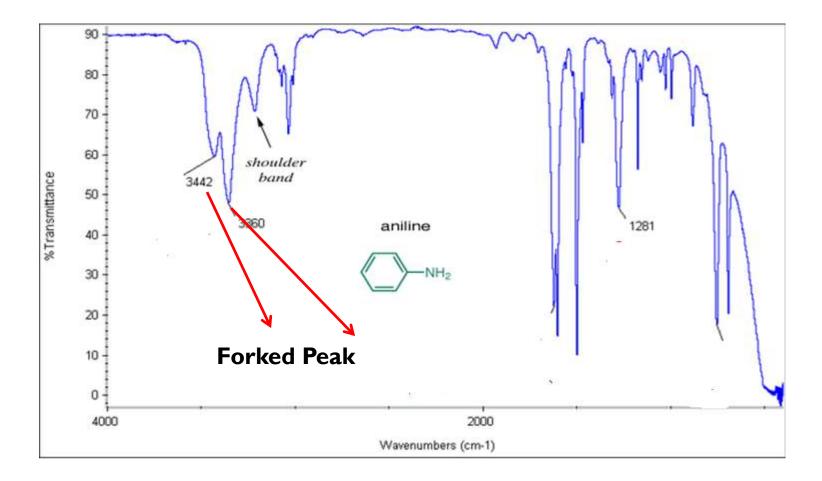
Carboxylic acid OH



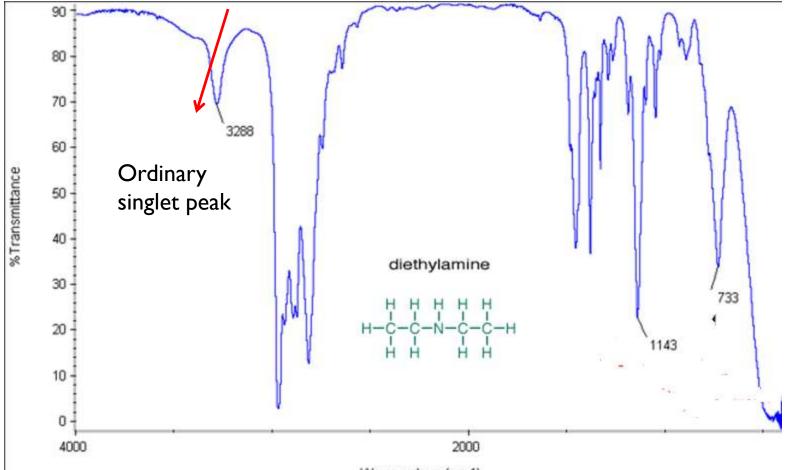
Region 4000-2500 cm⁻¹



Amines (primary amines)

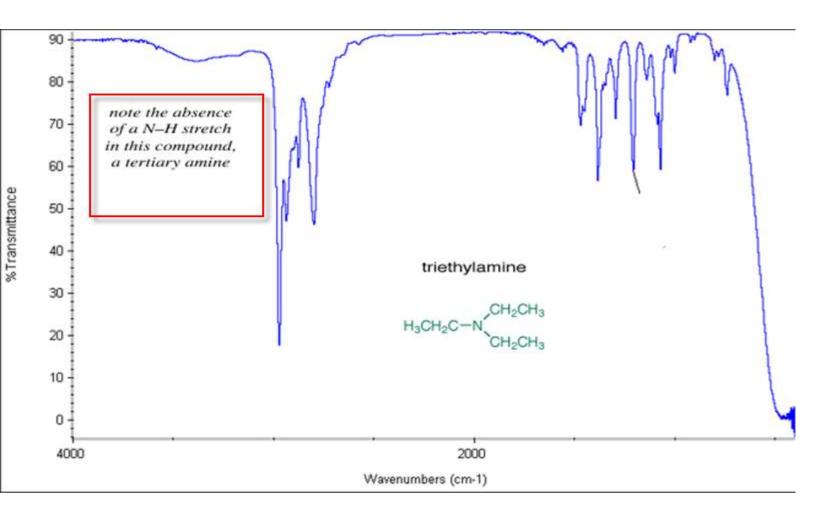


Amine (secondary amines)

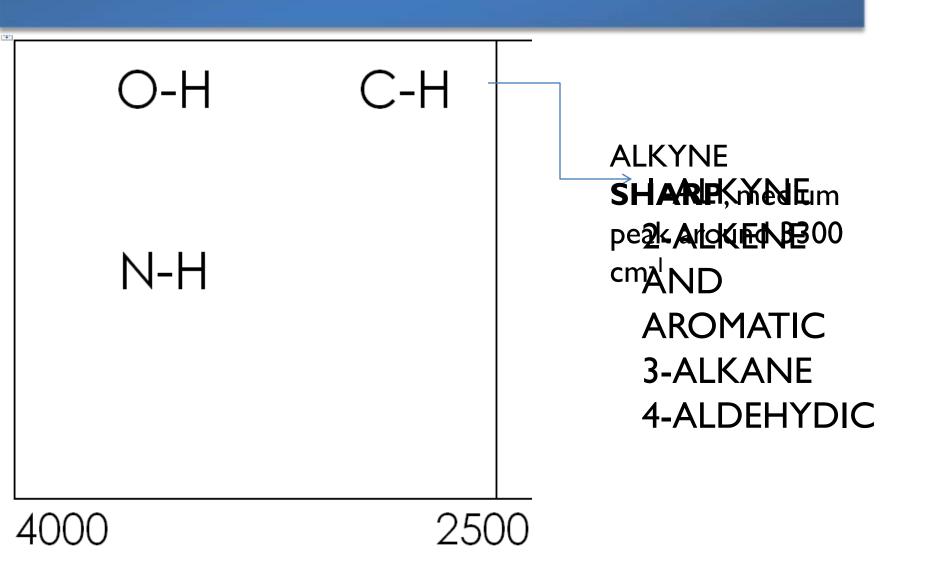


Wavenumbers (cm-1)

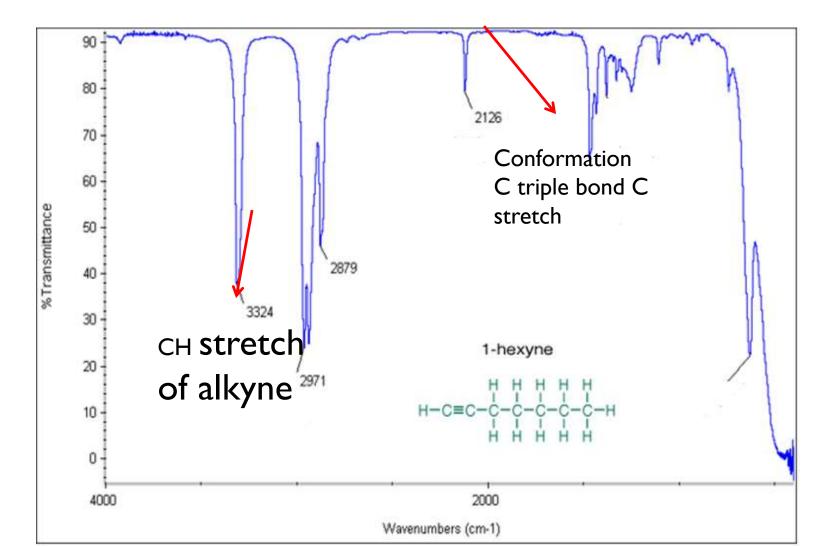
Amines (Tertiary amines)



Region 4000-2500 cm⁻¹



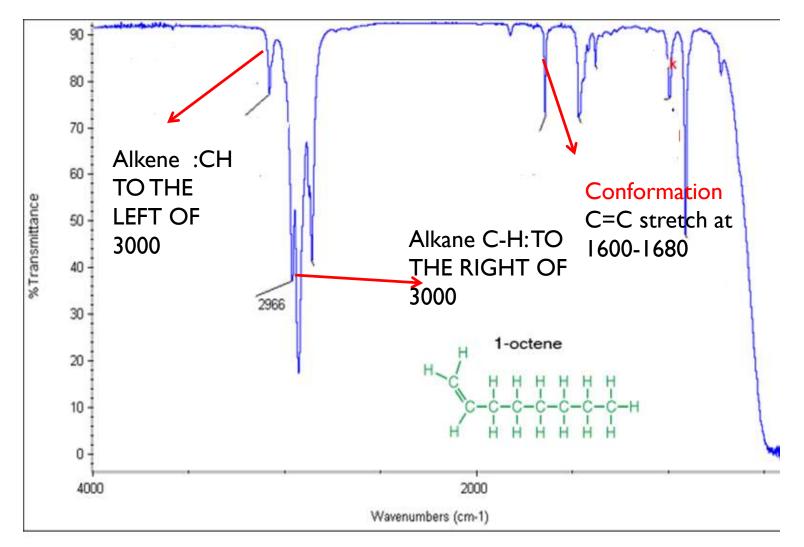
C-H ALKYNE



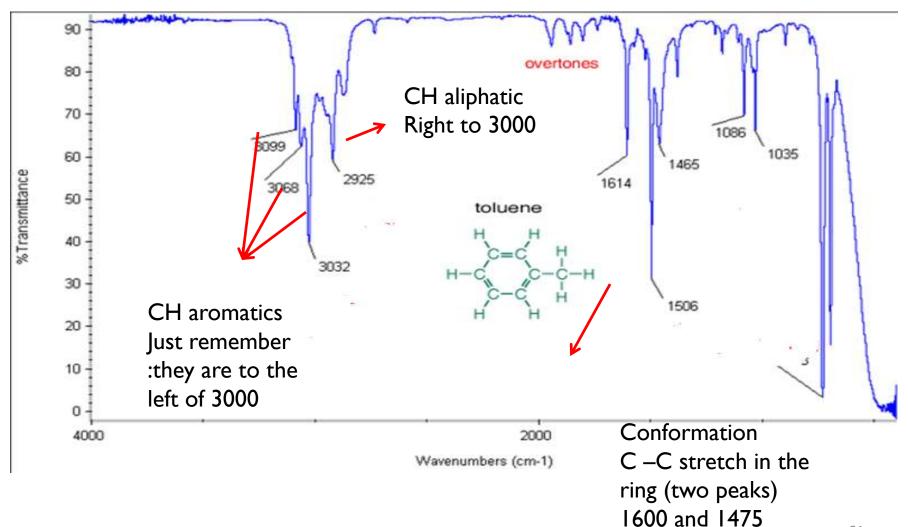
Region 4000-2500 cm⁻¹



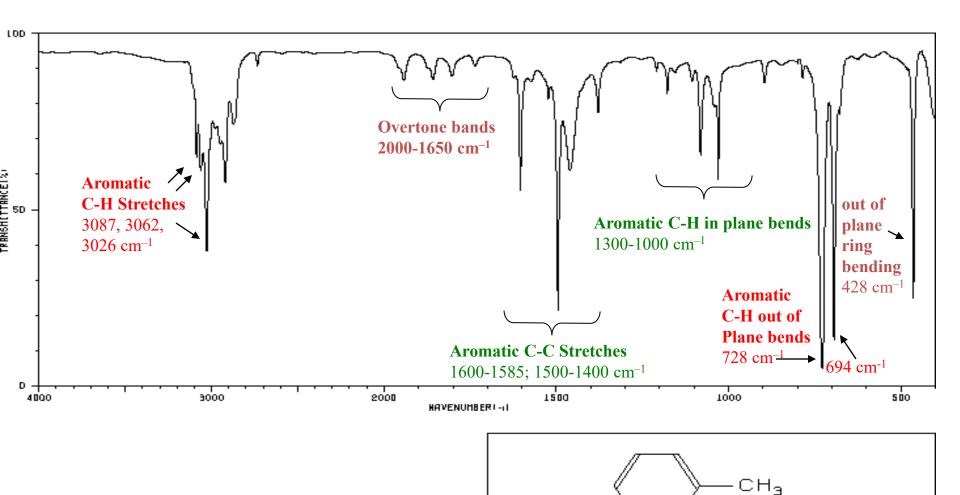
C-H Alkene



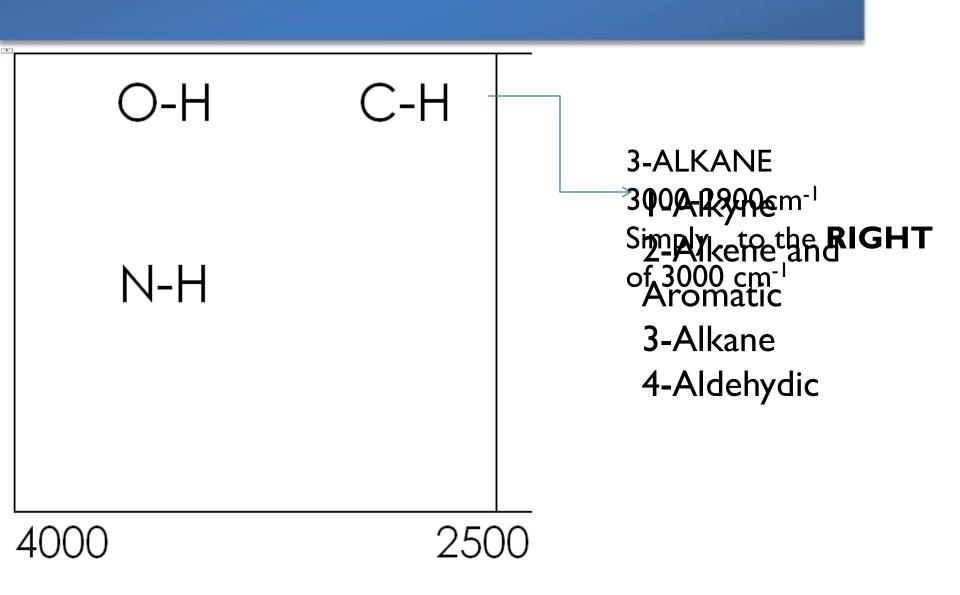
C-H aromatic



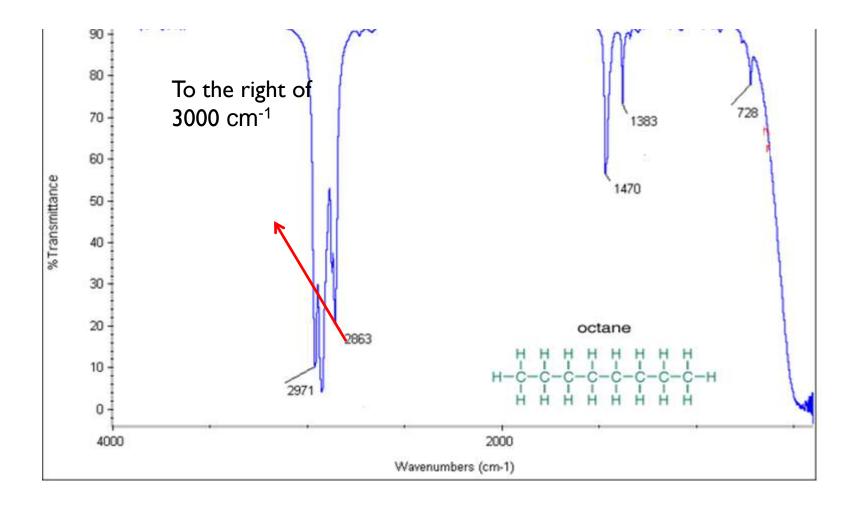
Mononuclear Aromatics



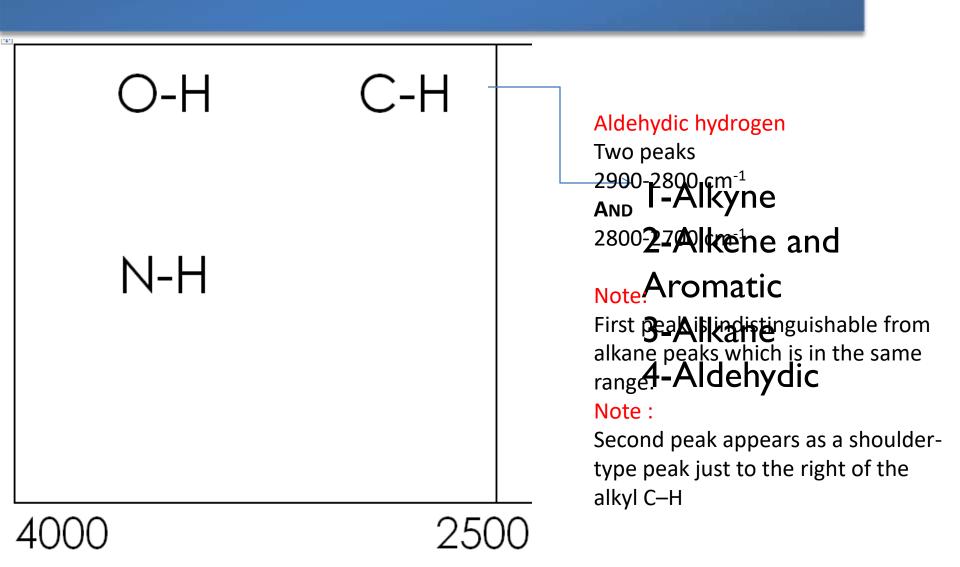
Region 4000-2500 cm⁻¹



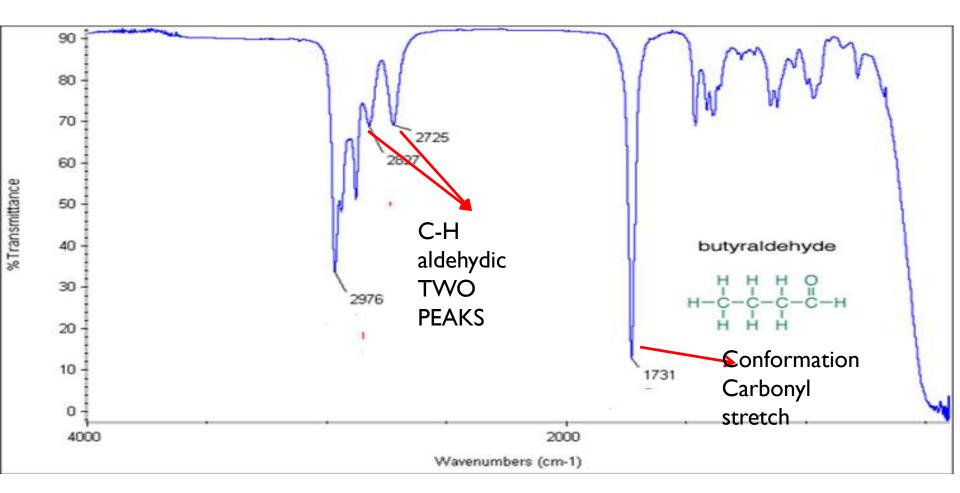
C-H alkane



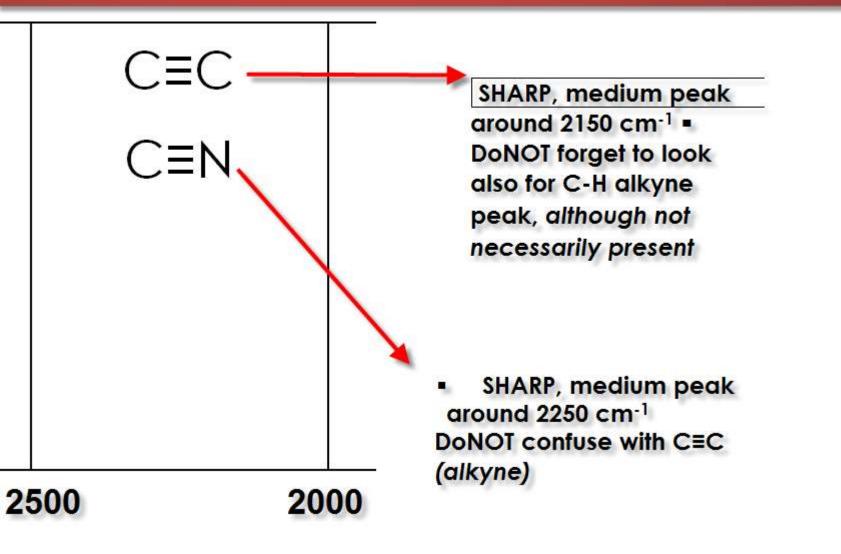
Region 4000-2500 cm⁻¹

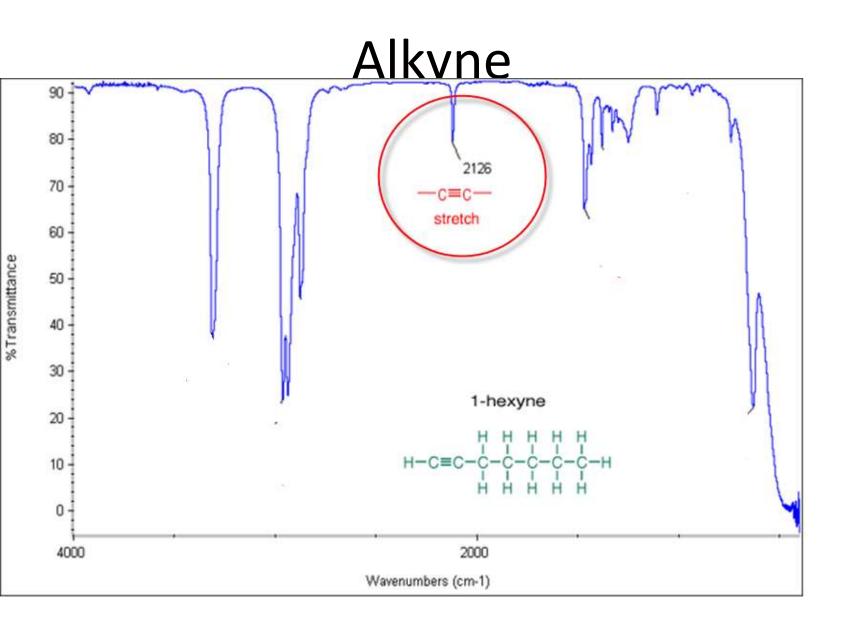


Aldehydic hydrogen



Region 2500-2000 cm⁻¹





Carbonyls

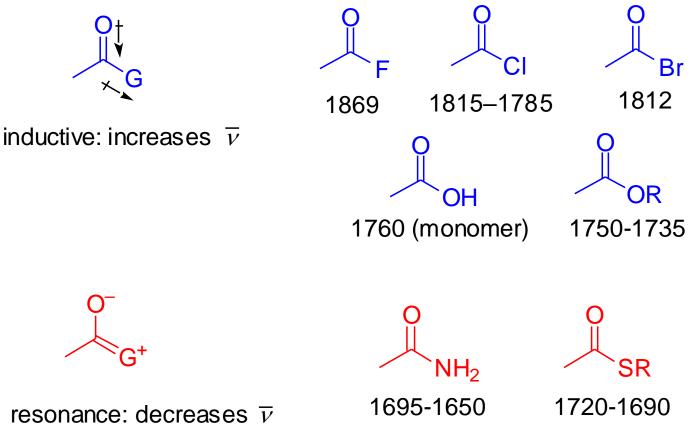
C=O stretch– easily recognized, intense band

• Ketones, aldehydes, acids, esters, lactones, acid halides, anhydrides, amides and lactams all show C=O stretching in the region 1870-1540 cm⁻¹.

Position is determined by (1) physical state (2) electronic and mass of neighboring groups (3) conjugation (4) hydrogen bonding (5) ring strain

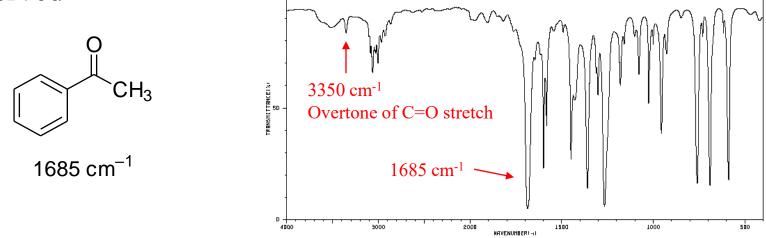
Ketones

- aliphatic: 'normal' position of a neat aliphatic ketone is 1715 cm⁻¹
- competing effects

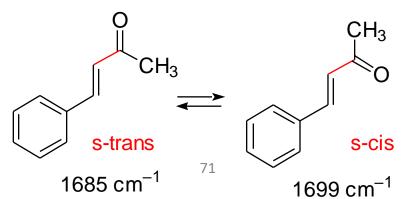


Ketones

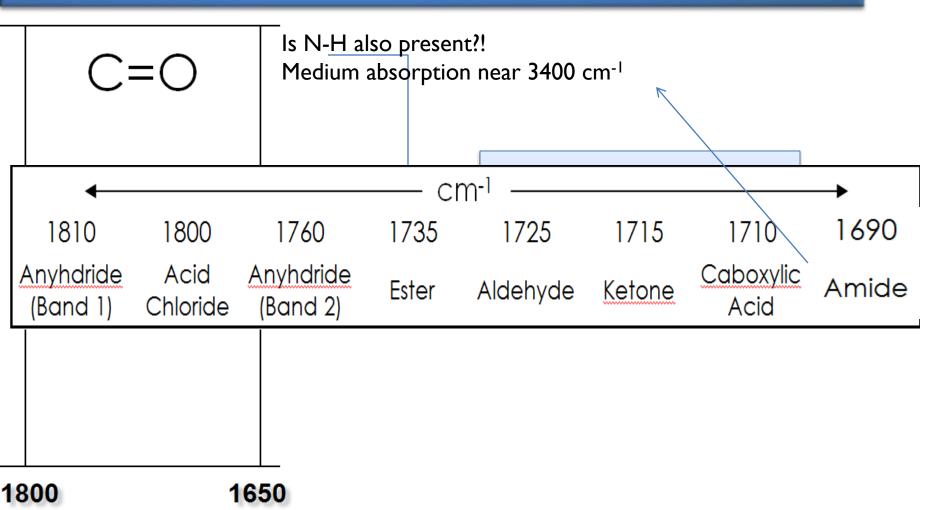
• conjugation: shifts position to lower frequency alkene or phenyl group causes absorption in the 1685-1666 cm⁻¹ region. For α , β -unsaturated carbonyls, 2 absorptions may be observed



• For α , β -unsaturated carbonyls, 2 absorptions may be observed



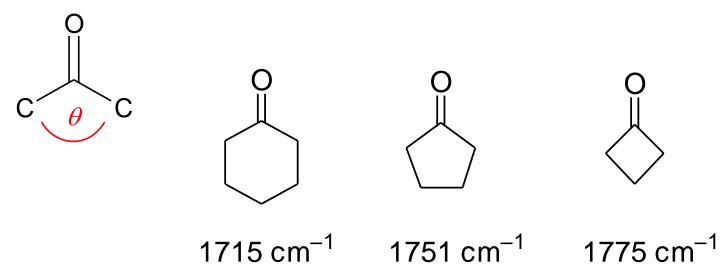
Region 1800-1650 cm⁻¹



Cyclic Ketones

The bond angle influences the absorption frequency of the C=O

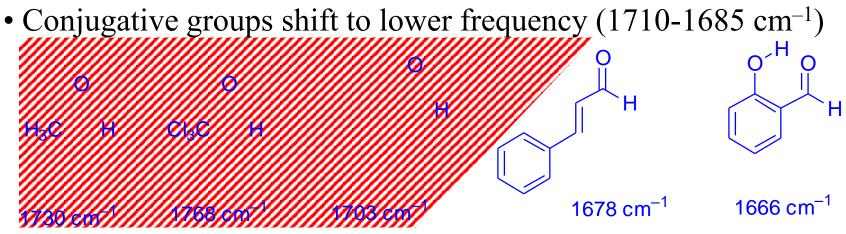
In strained rings, interaction with the adjacent C-C bonds increases the frequency of C=O stretching



Aldehydes

C=O stretch

- Aliphatic aldehydes: C=O stretch at 1740-1720 cm⁻¹
- Electron withdrawing groups shift to higher frequency



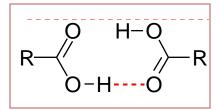
C–H stretch

2830–2695 cm⁻¹ Often, two bands are observed (the other is a result of an overtone of the C–H bend of the aldehyde)

Carboxylic Acids

OH stretch

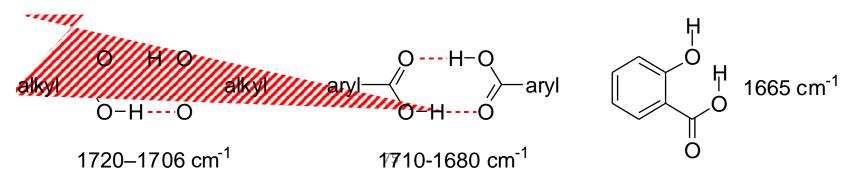
- 'free' OH (ca. 3520 cm⁻¹) is observed only in the vapor phase or in very dilute (<0.01 M) solution in a non-polar solvent
- Otherwise, acids exist as dimers



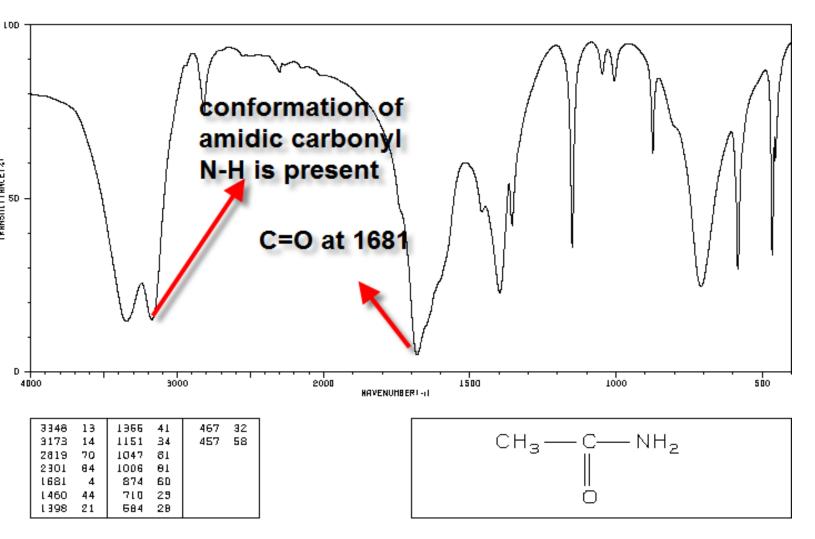
H-O R Intense, OH stretching in the 3300–2500 cm⁻¹ region centered near 3000 cm⁻¹

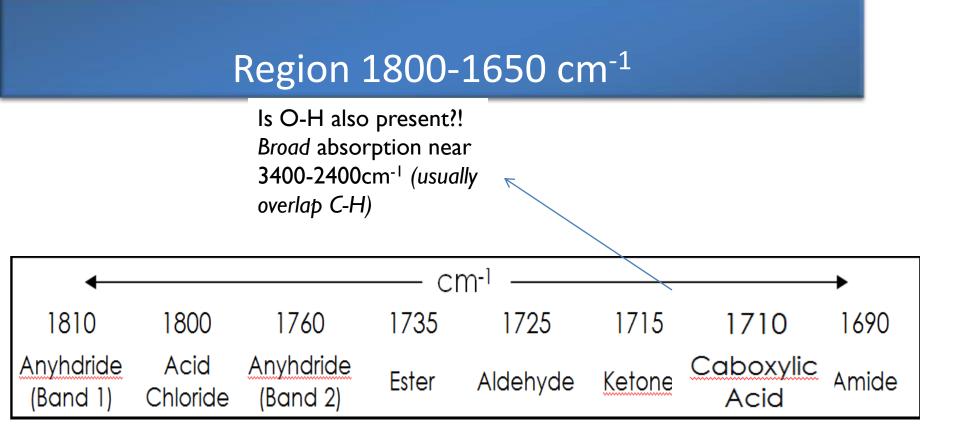
C=O stretch

- monomer: 1760 cm⁻¹ for aliphatic acids
- dimer: Hydrogen bonding reduces the frequency of the asymmetric C=O stretch, especially when intramolecular

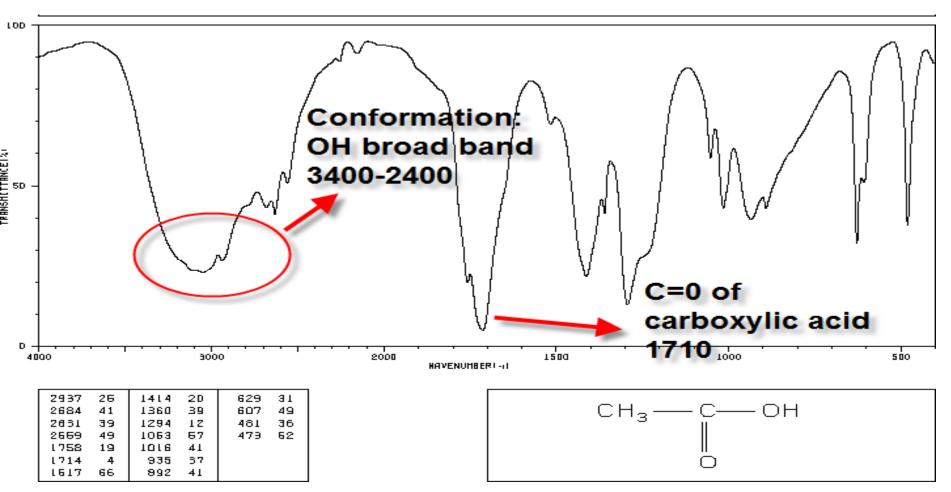


Amide



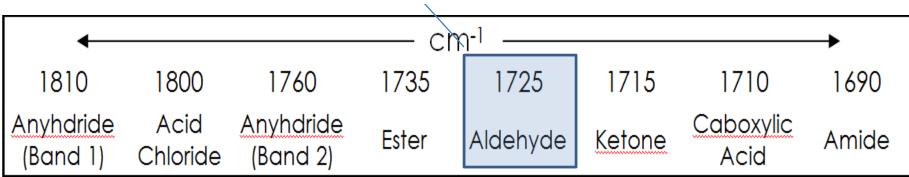


Carboxylic acid

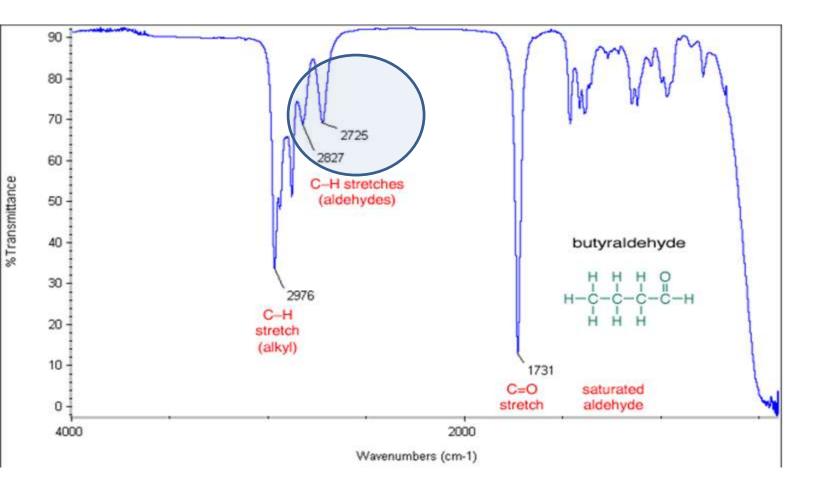


Region 1800-1650 cm⁻¹

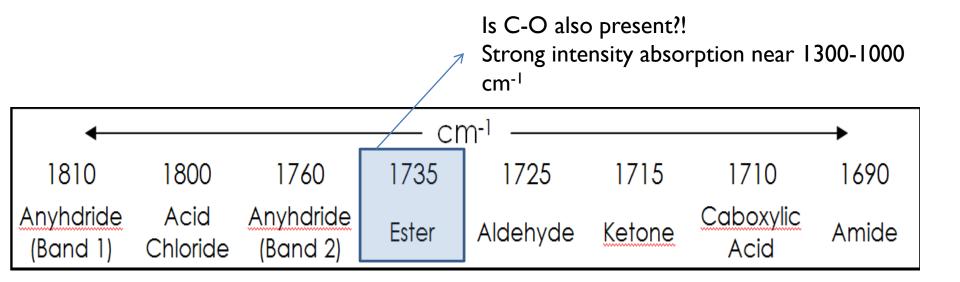
Is aldehyde C-H present?! Two weak absorptions near 2850 and 2750 cm⁻¹ on the right side of the aliphatic C-H absorptions

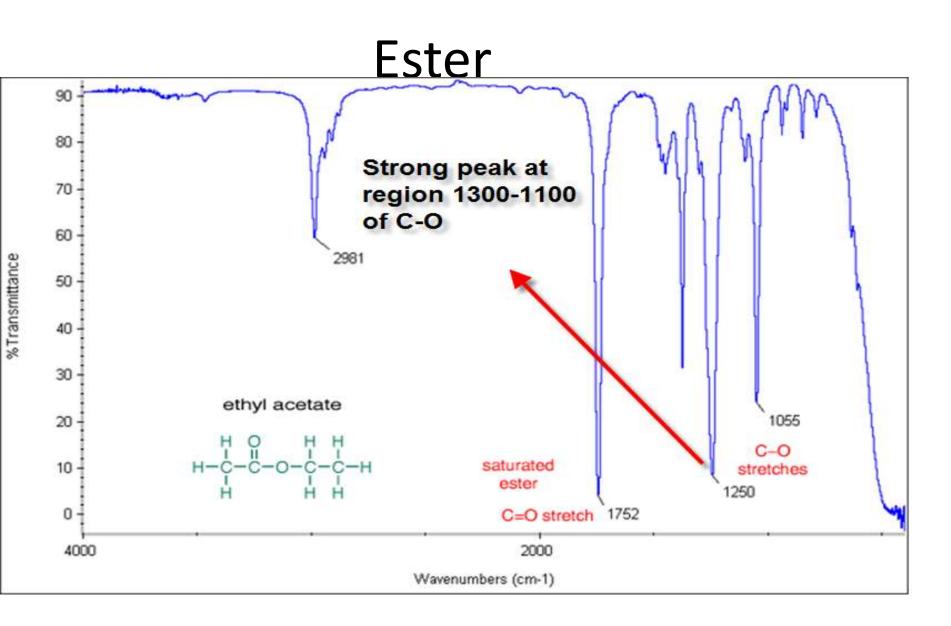


Aldehyde

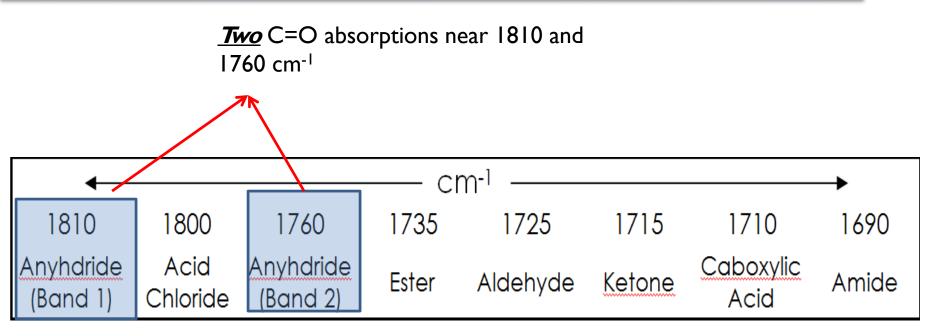


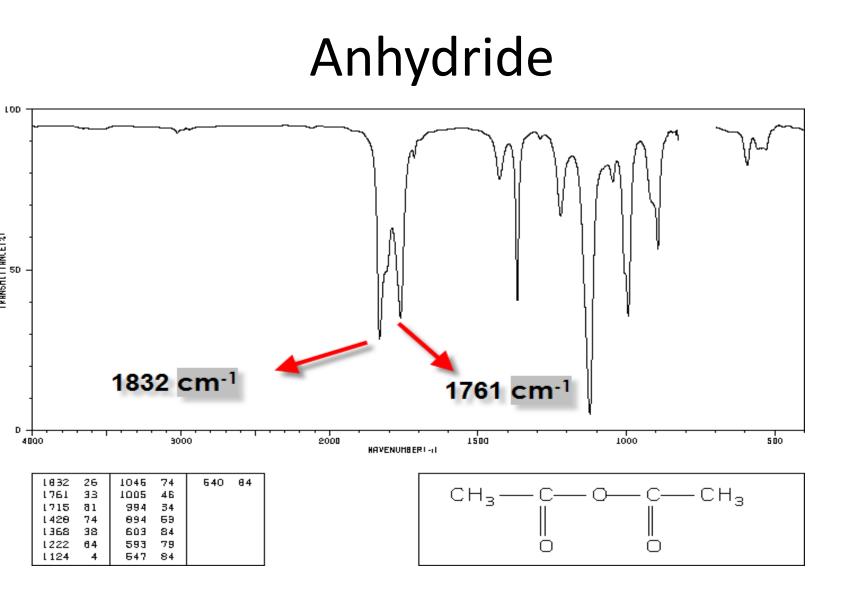
Region 1800-1650 cm⁻¹



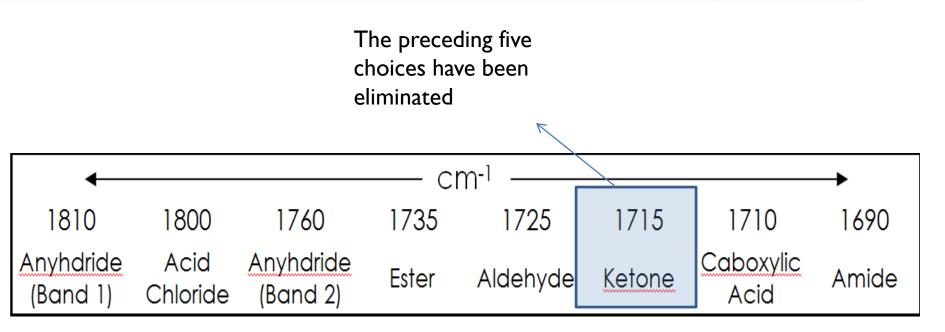


Region 1800-1650 cm⁻¹

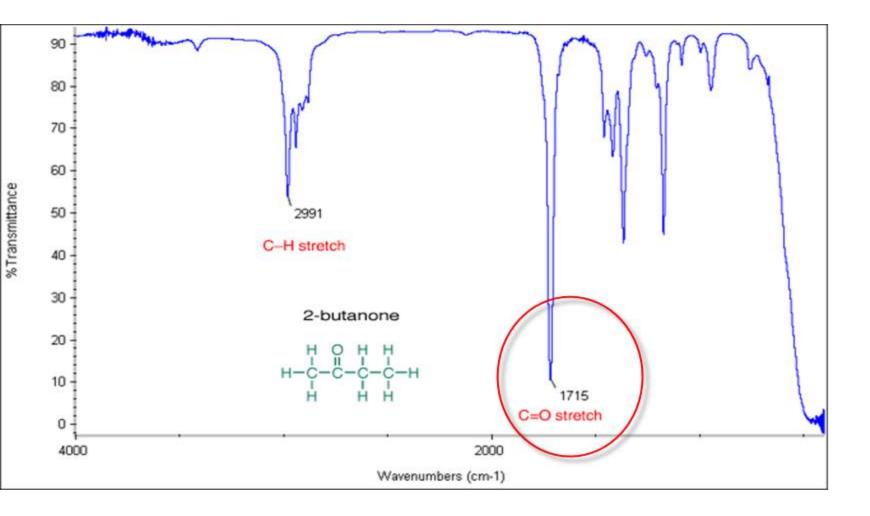




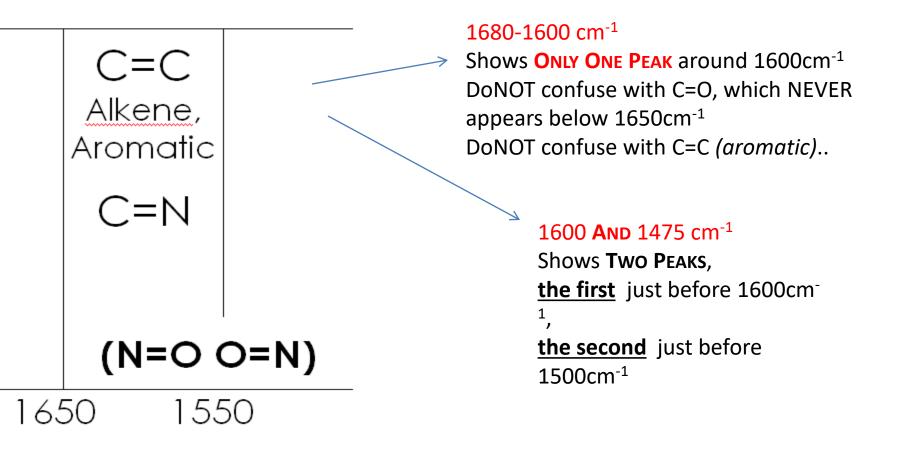
Region 1800-1650 cm⁻¹



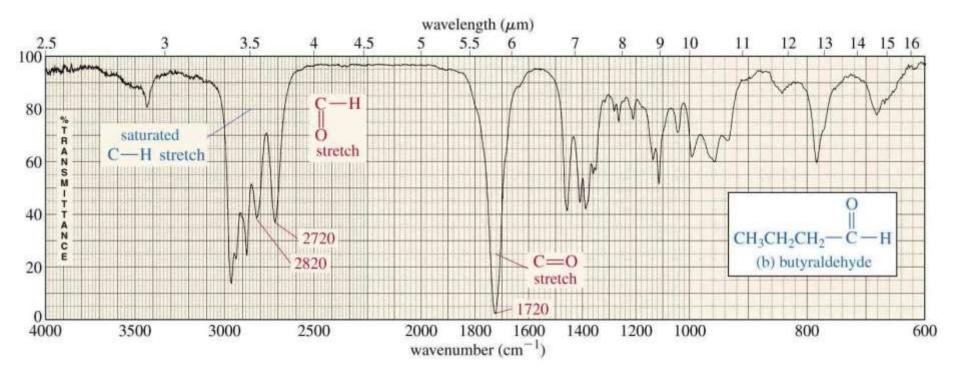
Ketone



Region 1650-1550 cm⁻¹

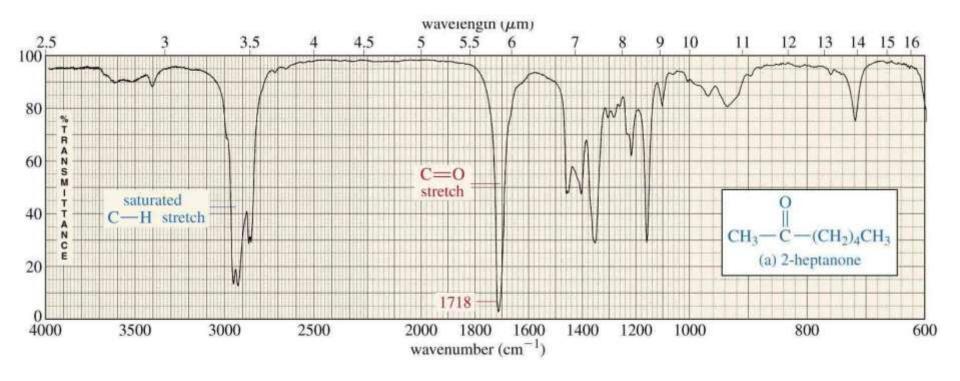


An Aldehyde IR Spectrum



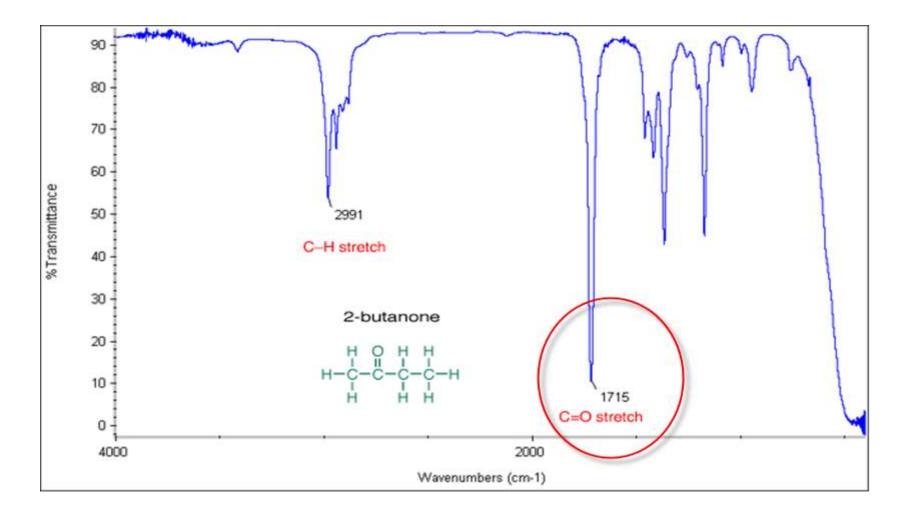
=>

A Ketone IR Spectrum



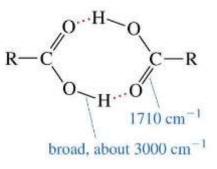
89

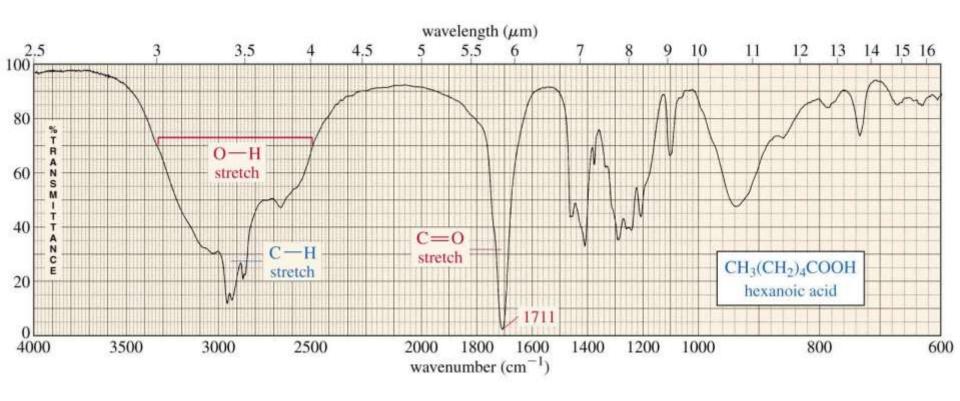
Ketone



O-H Stretch of a Carboxylic Acid

This O-H absorbs broadly, 2500-3500 cm⁻¹, due to strong hydrogen bonding.





Variations in C=O Absorption

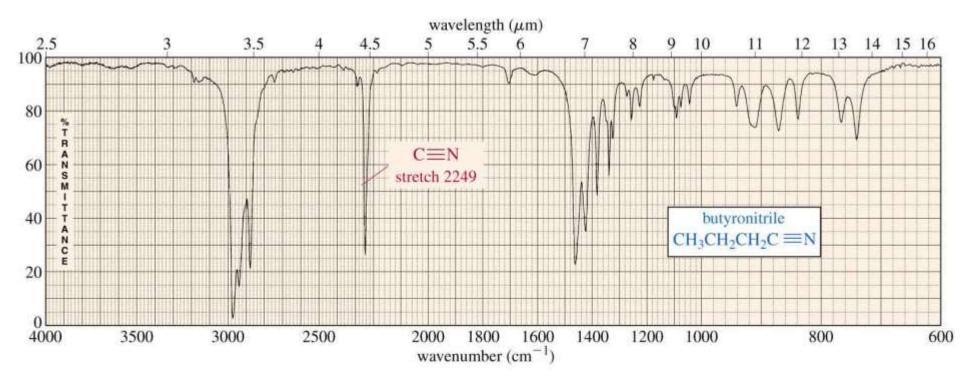
- Conjugation of C=O with C=C lowers the stretching frequency to ~1680 cm⁻¹.
- The C=O group of an amide absorbs at an even lower frequency, 1640-1680 cm⁻¹.
- The C=O of an ester absorbs at a higher frequency, ~1730-1740 cm⁻¹.
- Carbonyl groups in small rings (5 C's or less) absorb at an even higher frequency. =>

Carbon - Nitrogen Stretching

- C N absorbs around 1200 cm⁻¹.
- C = N absorbs around 1660 cm⁻¹ and is much stronger than the C = C absorption in the same region.
- C ≡ N absorbs strongly just *above* 2200 cm⁻
 ¹. The alkyne C ≡ C signal is much weaker and is just *below* 2200 cm⁻¹.

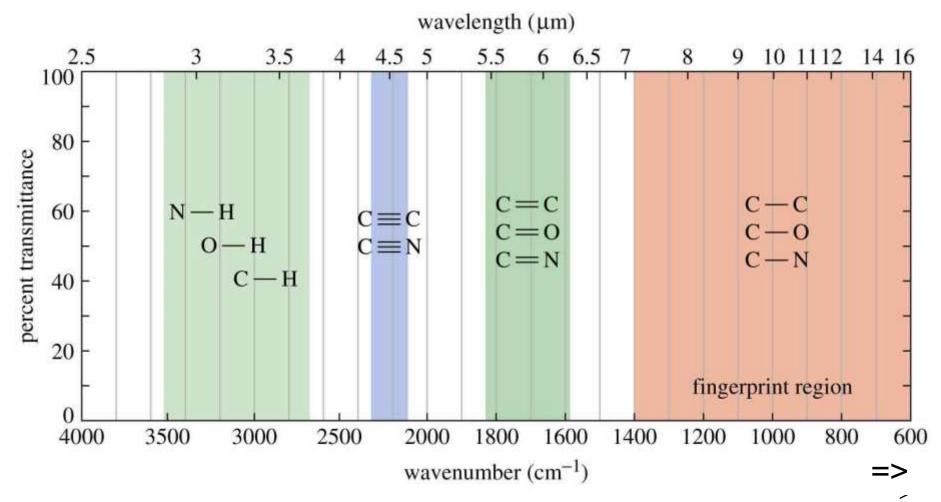
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A Nitrile IR Spectrum



=>

Summary of IR Absorptions



Thank u